

# Incentivized Peer Referrals for Tuberculosis Screening: Evidence from India

Jessica Goldberg\*, Mario Macis†, and Pradeep Chintagunta‡

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## Abstract

We use a field experiment with 3,176 patients at 122 tuberculosis treatment clinics in India to test whether peer referrals increase screening and identification of patients with an infectious disease. Low-cost financial incentives considerably raise the probability that current patients refer prospective patients for screening and testing, resulting in the cost-effective identification of new tuberculosis cases. Incentivized referrals operate through two mechanisms: peers have private information about individuals in their social networks (beyond their immediate families) to target for outreach, and peers are more effective than traditional contact tracing by paid health workers in inducing these individuals to get tested.

Keywords: tuberculosis, referrals, social networks, case finding, incentives, India, health  
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\*University of Maryland, goldberg@econ.umd.edu

†Johns Hopkins University, mmacis@jhu.edu

‡University of Chicago, pradeep.chintagunta@chicagobooth.edu

# 1 Introduction

Referrals are used to resolve imperfect information when individuals have private knowledge that may be obtained from and shared through their social networks. The best-known examples are in labor markets, where current employees may have better information than firms about the characteristics of prospective new workers (Bryan et al., 2010; Heath, forthcoming; Kugler, 2003; Beaman and Magruder, 2012; Beaman et al., 2018b; Burks et al., 2015; Friebel et al., 2018). The role of peers has also been documented in the context of technology diffusion, particularly in agriculture (Beaman et al., 2018a; Fafchamps et al., 2018), and in the targeting of social protection programs and microfinance loans (Alatas et al., 2016; Hussam et al., 2017). Moreover, firms that sell goods or services often rely on referrals from current customers—who have private information about quality—to market their products to new ones (Kumar et al., 2010; Godes and Mayzlin, 2009). In this paper, we use a field experiment to study the use of incentivized peer referrals to identify patients with tuberculosis (TB) symptoms in India. This application shares some features with labor or product markets that have

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been studied in the past. Current TB patients may have private information that they can share with health providers about the identity of others who need treatment. As a result of their own experiences, they also have information about the expected benefits of treatment that they can disseminate through their networks. This situation is distinct from the contexts in which referrals have been most intensively studied because (1) stigma about TB may make the costs of sharing information about treatment higher than those of sharing information about jobs or products, and (2) the highly contagious nature of the disease means there are public as well as private benefits to increased identification of individuals with TB.

Tuberculosis is currently the leading infectious cause of death globally, with nearly 1.7 million deaths in 2016 (Chaisson, 2018).<sup>1</sup> About 10.4 million people worldwide, three million of them in India alone, developed active TB in 2016.<sup>2</sup> The disease is most common among vulnerable populations in poor countries in Africa and Asia (World Health Organization, 2017). Mortality from untreated TB is high (45% for HIV-negative and nearly 100% for HIV-positive individuals (World Health Organization, 2017)) and the disease is highly debilitating, with serious—often devastating—consequences for human productivity.

Despite the high personal cost of illness and the availability of highly effective treatment that is free to patients in developing countries, a large share of those infected with TB are not in treatment. In India, estimates suggest about 40% of TB cases are not reported (Cowling et al., 2014). Underdetection of TB poses a key challenge to health officials because the success of any treatment program relies on the identification of those with the disease.<sup>3</sup> This highlights the importance of increasing screening and

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<sup>1</sup>There were 1.3 million TB deaths among HIV-negative people and 374,000 TB deaths among HIV-positive people; the latter are classified as HIV deaths in official reports (World Health Organization, 2017).

<sup>2</sup>Between 1 and 2 billion people globally are estimated to have “latent” TB (Chaisson, 2018). These individuals are infected with *Mycobacterium tuberculosis* but do not have symptoms of the disease and cannot spread the infection to others. However, without treatment, individuals with latent TB have a 5%–15% lifetime probability of developing active TB (World Health Organization, 2017).

<sup>3</sup>Omar et al. (2015) conducted a postmortem study of adults who died at home from “natural causes” (and had no antemortem TB diagnosis) in a high TB-burden setting in South Africa, and found laboratory evidence of TB in 32% of cases.

testing, especially among high-risk populations.

Identifying TB cases has proven to be very costly. One reason is that even in contexts with high TB prevalence, large numbers of individuals must be screened to identify symptomatics. For example, Charles et al. (2010) report findings from a large-scale TB screening program in southern India. More than 18,000 individuals were screened, resulting in the identification of 640 people with symptoms that required TB testing.<sup>4</sup>

In this paper, we study the potential role of peer referrals in improving TB screening and detection. Many individuals have information about TB treatment based on their own experience as patients. They also have strong social ties to others who would benefit from testing and treatment. Patients who are already in treatment for TB are likely to know other infected people both because they share risk factors and because the disease is contagious. In particular, they may have connections to vulnerable people who are hard for health workers to identify and reach in a timely manner. Current patients might also be able to credibly vouch for the quality of the health care provider and the benefits of treatment, providing personal testimonials that could be more compelling to some prospective patients than information from health workers.<sup>5</sup> Thus, peer referrals can potentially complement or supplement outreach by public health workers along two dimensions: they can increase the scale of outreach and improve the ability to target marginalized individuals.

However, current and former patients tend not to share this welfare-improving information with members of their social networks who might also have the disease, perhaps because the idea of sharing such information is not sufficiently salient. Or, because of the stigma associated with TB (Kelly, 1999; Atre et al., 2011), current patients may find it costly either to reveal their status or to suggest that a personal contact would benefit from testing or treatment. Our experiment investigates the potential of peer referrals to resolve informational barriers to case detection, much as referrals are used

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<sup>4</sup>In Charles et al. (2010), the prevalence of so-called “chest symptomatics” was 2.7% in rural areas and 4.9% in urban areas; as we will see, the corresponding rates for the population of new prospective patients targeted in our study were close to 80%.

<sup>5</sup>Conveying otherwise-hidden information to prospective patients makes the peer referral mechanism we study distinct from community- or network-based targeting, where the objective is typically to aggregate information from the network to share with a third party.

in labor markets to identify high-quality employees. We evaluate the use of financial incentives to overcome barriers to sharing information about testing and treatment, and we use variation in the type of incentive offered in order to provide insights about the information barriers that otherwise limit peer referrals among TB patients.

We conducted a randomized field experiment in India in partnership with Operation ASHA, an Indian NGO that runs Directly Observed Treatment Short Course (DOTS) centers in several cities in coordination with the Indian Government’s TB control program, the Revised National Tuberculosis Programme (RNTCP).<sup>6</sup> A total of 3,176 current TB patients in 122 DOTS centers in nine cities were randomized into either a control group or one of nine treatment groups that varied the presence and conditionality of the incentives, the method of outreach, and whether the prospective patients knew who identified them. The intervention was implemented in five waves between March 2016 and October 2017. To avoid spillovers between experimental conditions, we randomized at the DOTS center level, stratifying by city.

If the crucial barrier to testing or treatment is lack of information or insufficient salience of the social relevance of making referrals, encouragement alone might increase referrals from current patients to others who may be infected with TB. Because there may be additional obstacles, financial incentives have been used in several health contexts in developing countries to help overcome obstacles to the adoption of certain behaviors. For example, incentives have proven effective in encouraging people to reduce the risk of contracting HIV and sexually transmitted diseases in Malawi (Baird et al., 2012; Kohler and Thornton, 2011) and Tanzania (Walque et al., 2012), and to learn the results of their HIV tests in Malawi and Kenya (Thornton, 2008; Kremer et al., 2009). Incentives have also been used to promote anemia reduction among children in rural China (Miller et al., 2012) and to improve maternal and child health services in Rwanda (Basing et al., 2011). In our context, incentives might help overcome psychological or social costs associated with approaching members of patients’ social networks to suggest TB testing. Experimental variation in the conditionality of the incentive (whether

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<sup>6</sup>India launched its National Tuberculosis Programme (NTP) in 1961. Later, in order to standardize TB treatment and implement the DOTS strategy, the Revised National Tuberculosis Control Programme (RNTCP) was started in 1997. Over the next nine years, it expanded across the country.

payment depends on the prospective patient’s test results) is informative regarding the extent to which current patients have concrete information about the health status of their social contacts, and whether unconditional incentives generate opportunistic behavior (e.g., patients might invite their friends to get tested even though they show no symptoms). Furthermore, variation in the degree of current patients’ engagement in outreach allows us to disentangle the effect of their information about prospective targets from the effect of peer outreach activity. This is a novel contribution to the literature on referrals; in other contexts, referrers typically both identify targets and perform the outreach.<sup>7</sup> Finally, within the health-worker outreach arm, we vary whether or not prospective patients are told the identity of the TB patient who named them. To the extent that social stigma is an important constraint, current patients who are guaranteed anonymity should be more likely to provide contact information for prospective patients because of the resulting reduction in the social cost of making a referral.

Our primary outcomes are administrative measures of TB screening and testing: the number of referrals current patients made, the number of referred individuals who were symptomatic and recommended for testing, and the number of new symptomatics who got tested. We also observe the number of new TB case detections. Our results indicate that not only do current TB patients have valuable information about screening and testing, but they also effectively convey it to others in return for small financial incentives. In absolute numbers, the referral schemes we tested led to the screening of 216 symptomatic individuals and the diagnosis of 35 previously untreated TB cases, a much higher rate of identification than that reported by Charles et al. (2010) in southern India. Twenty-eight percent of symptomatics in our experiment who were tested turned out to have active TB, more than twice the 12% among all those tested at government facilities. Relative to encouragement alone, financial incentives doubled the number of referrals made by current patients and had statistically significant effects on outcomes

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<sup>7</sup>We are aware of only one marketing study that contrasts the effectiveness of outreach by current customers (analogous to patients in our context) and independent agents (Godes and Mayzlin, 2009). In that study, outcomes cannot be directly associated with specific individuals on either side of the interaction since the relationship being measured is between aggregate sales in a market and the total amount of word-of-mouth content spread by customers and agents in that market.

from screening to identification of patients with active TB. Our other innovation, peer outreach, was also more than twice as effective in identifying prospective patients for screening and finding individuals with TB as the alternative active case finding method of contact tracing by health workers. Both financial incentives and peer outreach are highly cost-effective at \$US 114 for each case of active TB identified through peer outreach, compared to \$US 300–\$US 400 for contact tracing by health workers. We also find strong complementarities between incentives and peer outreach. Moreover, using an incentive-compatible measure of effort from current patients in the peer-outreach arm, we find that financial incentives increased current patients’ efforts to identify individuals more likely to present for screening and to improve the quality of information conveyed to prospective patients. The reach of peers extends well beyond immediate family members (which in this study were excluded by design), including neighbors, co-workers, and friends. Incentivized referrals appear to be more disadvantaged compared to referrals from nonincentivized current patients, and new prospective patients identified through peer outreach were more socially disconnected than those from the contact-tracing arms.

Our study demonstrates that the necessary conditions for large-scale, community-based referral schemes exist. Current patients have useful information; they are able to pass on that information and to target at-risk individuals; and they are willing to do this in return for small, cost-effective payments. We emphasize the establishment of these necessary conditions in terms of current patients’ access to information and their ability and willingness to share it, rather than the ability of peer referral schemes to tackle the scale of India’s TB problem. Although India has the highest TB burden in the world, infection is still a relatively rare occurrence and it would require a prohibitively large sample to identify a large number of TB-positive individuals.

Our work contributes to a large literature that documents the effects of social networks on individuals’ economic outcomes and behaviors (Jackson, 2011). Social phenomena such as organic “word of mouth” (i.e., based on current customers who are intrinsically motivated to influence others) and referrals have been documented and studied in several contexts. Firms often use incentivized referrals to expand their customer base. For example, in a series of randomized field experiments, Kumar et al.

(2010) assess the effectiveness of cash incentives offered to current customers of a financial institution in order to attract new ones. Godes and Mayzlin (2009) consider the impact of word of mouth generated by customers of a casual dining restaurant chain and by agents of an independent word-of-mouth marketing agency on the chain’s sales outcomes.

Firms also use referrals to attract and screen workers (Bryan et al., 2010; Heath, forthcoming; Kugler, 2003). The potential role of referrals in attracting candidates with specific characteristics in employment settings has been measured in experimental studies in India (Beaman and Magruder, 2012) and Malawi (Beaman et al., 2018b), as well as in experimental and nonexperimental studies in the United States (Burks et al., 2015; Friebel et al., 2018). Results from marketing or employment contexts may or may not generalize to a health context. In labor markets, homophily might lead to undesirable outcomes from referrals by limiting diversity in hiring (Beaman et al., 2018b; Hoffman, 2017) or inducing nepotism (Wang, 2013), which in some cases could cause referrals to have negative net welfare effects. In our context, homophily is likely to benefit disadvantaged populations because our referrer population is marginalized and thus likely to reach out to other marginalized individuals. At the same time, it is possible that excessive reliance on a referrals mechanism might disadvantage individuals who do not enjoy large social networks. This highlights the importance of analyzing, as our study does, precisely which types of individuals are recruited through the various referral schemes.

Our work also relates to the literature about the use of social networks to disseminate information. In developing countries, one focus has been on the role of peers in agricultural outreach. In many cases, these efforts rely on demonstration effects rather than referrals per se; recent papers endeavor to identify the optimal farmers to seed with new information (Beaman et al., 2018a). Fafchamps et al. (2018) find that farmers referred for training by peers are slightly more likely to adopt a new agricultural technology than farmers who are randomly selected for training, confirming that networks do have some private information about who benefits from an intervention. While this is one of the few papers to study financial incentives for information dissemination in



agriculture, such incentives in this setting did not improve the quality of referrals but instead led to strategic behavior between contacts.

Community-based targeting approaches use peers to target benefits such as social protection programs and microfinance loans. These are similar to our intervention in the use of private information, which is held by individuals and can be conveyed to a third party, about who is likely to benefit from an intervention. They differ in the costs and benefits of sharing information, especially when program capacity is limited, when joint liability is at play, or when benefits received by one household can be transferred to another. Alatas et al. (2016) model learning and sharing information about wealth within villages in Indonesia. They conclude that information about household wealth ranking from network members is most valuable in improving targeting in more diffuse networks, and they find that households may be reluctant to share information. Husam et al. (2017) demonstrate that community members have information about which prospective borrowers are likely to have high returns to capital, and show that financial incentives can induce truthful reporting.

Just as network position matters in the diffusion of agricultural information (Beaman et al., 2018a), it also affects who is well-positioned to spread information about public health. Banerjee et al. (2019) find that individuals nominated by their communities are better at spreading information (in this case, about immunization camps) that increases the take-up of vaccines than are randomly selected individuals. Several papers emphasize the identification of individuals who are most efficient in gathering or spreading information within their network based on their position within the network structure or observable characteristics. These works consider information aggregation (Alatas et al., 2016) and dissemination (Beaman et al., 2018b; Banerjee et al., 2019) separately, while referrals in our context transmit information in both directions. More broadly, our work also relates to a growing set of studies that document the respective effects of social interactions, networks, and peers on health behaviors including obesity (Christakis and Fowler, 2007), smoking (Christakis and Fowler, 2008), and the use of hygiene products (Oster and Thornton, 2012), as well as on choices associated with HIV treatment (Balat et al., 2018), hospital (Pope, 2009), and health insurance (Sorensen,

2006). The potential efficacy of peer counseling has also been studied, including to promote exclusive breastfeeding (Anderson et al., 2005), to deliver parenting advice to promote child development (Rockers et al., 2018), and among individuals recently diagnosed with cancer (Harris and Larsen, 2007) or HIV (Giese-Davis et al., 2006). We add to this literature by exploring the effects of peers in a context where social interaction could potentially be highly beneficial (both to individuals themselves and society as a whole), but where peer influence is uncommon due to stigma and other personal and social costs.

In addition to contributing to the academic literature on networks, referrals, and incentives, our study is relevant to public health policy. It is closely aligned with and designed to study potential improvements to the strategies used to fight TB by both the World Health Organization (WHO) and India’s RNTCP.<sup>8</sup> Furthermore, other communicable diseases such as HIV/AIDS present challenges similar to those posed by TB. These diseases also affect vulnerable, marginalized populations and carry strong social stigma. In fact, TB is the most common illness and a major cause of death among HIV-positive individuals. Therefore, insights from the TB context may prove useful in

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<sup>8</sup>To improve outreach and reduce its cost, recent WHO guidelines encourage high TB-burden countries to incorporate community-based outreach in national campaigns to prevent and treat TB. These guidelines, called the ENGAGE-TB approach (Haileyesus Getahun et al., 2012), include a specific emphasis on the role of communities in assisting in the detection of TB, especially in its early stages. The guidelines emphasize referrals by community health workers and volunteers; the referral strategies we test in this study are consistent with the WHO recommendations. Following the recent WHO guidelines, public health scholars and practitioners have begun to explore peer referrals as a case-finding tool. Some studies focus on HIV case finding among high-risk communities (see, e.g., Glasman et al. (2016); Gwadz et al. (2017); Shangani et al. (2017)), and one study considers identification of malaria cases (Faye, 2012). In the context of TB, Joshi et al. (2017) implement a peer-led screening project in Nepal, where 30 volunteers received intensive training to perform TB screening, collect sputum samples, accompany the newly diagnosed patients to obtain treatment, and support them during treatment. Similar strategies were implemented in the Democratic Republic of the Congo (Munyanga Mukungo and Kaboru, 2014; André et al., 2018). These studies, which do not include experimental control groups and are not designed to investigate mechanisms, included intensive training of groups of selected former TB or HIV patients who deployed as community health workers, often for prolonged periods of time. Methodologically, our study differs from existing research in using an RCT to identify causal impacts of various referral and incentive schemes and to distinguish between competing barriers to information sharing. Operationally, it mobilizes current patients during the course of their treatment and requires minimal training.

the HIV/AIDS context and even suggest ways in which campaigns to combat the two diseases can strengthen one another.

In the next section, we present the simple conceptual framework we used to design the experiment and guide our analysis. In Section 3, we describe the context and the experimental design. In Section 4, we present results, and in Section 5, we offer our conclusions.

## 2 Conceptual Framework

The conceptual framework that guides our experimental design and analysis is grounded in our focus on the choices of current patients, who face potential costs and benefits from referring others for TB screening. The model is based on Beaman and Magruder (2012), who applied the framework to the more traditional context of job referrals.

We assume that each current patient (*CP*)  $i$  undergoing TB treatment at a certain health care provider is endowed with a given number of contacts  $j = 1, \dots, n_i$ . From the perspective of  $CP_i$ , the individual making the referral, each of his contacts  $j$  is a potential subject of the referral and is characterized by:

1. A social reward  $s_{ij}$  that  $CP_i$  receives when referring contact  $j$  to the provider. The social reward includes the cost or disutility of the interaction due to the stigma and discrimination associated with TB, the time cost of meeting with contact  $j$ , the value of any intrinsic utility generated by the interaction (such as the “warm glow” described by Andreoni (1990) that  $CP_i$  experiences from knowing he helped contact  $j$  improve her health), and any financial exchange between the two parties. The social reward  $s_{ij}$  can therefore be positive or negative, depending on whether its positive or negative components prevail.
2. A fixed payment from a third party,  $f_i$ , received for referring contact  $j$ , if  $j$  presents with symptoms consistent with TB, irrespective of the test results.
3. A contingent payment from the third party,  $p_i$ , received only if contact  $j$  tests positive for TB. Implicitly,  $p_i$  is conditional on  $j$  getting tested and testing positive.

4. The subjective probability  $\pi_j$  that contact  $j$  has TB, as assessed by  $CP_i$  after observing signals such as whether  $j$  presents symptoms consistent with the disease.
5. The probability  $\lambda_{ij}(X_j, q_{ij})$  that contact  $j$  will present for screening; that is a function of  $j$ 's characteristics,  $X_j$ , as well as  $q_{ij}$ , the quality of information available to  $j$  about the costs and benefits of screening and treatment, which can be influenced by her interactions with  $CP_i$ .

An individual  $CP_i$  will make a referral if his net expected benefit from the referral is positive; that is, if:

$$s_{ij} + \lambda_{ij}(f_i + \pi_j \times p_i) > 0 \tag{1}$$

Due to lack of awareness of the social benefits of making referrals, and because of the stigma associated with TB, the social reward  $s_{ij}$  for making a referral is likely to be small or negative. This explains why, in the absence of other incentives, referrals in this context are rare—in contrast to the job referral context, where the social reward for a referral is typically positive. Our experiment includes an “encouragement” condition without any financial incentives; this increases the salience of the social importance of testing anyone with TB symptoms and therefore, the perceived positive component of  $s_{ij}$ ; it also potentially motivates the current patient to improve  $q_{ij}$ , thereby increasing the probability that person  $j$  presents to get screened.

The payment to the referrer may be entirely fixed ( $f_i > 0$  and  $p_i = 0$ ) or depend on the prospective patient’s TB test results ( $p_i > 0$ ).<sup>9</sup> When the reward is fixed, each  $CP_i$  has an incentive to refer any contact who may be willing to be screened for TB, whether or not the person has symptoms consistent with TB. If a person’s willingness to get screened and tested ( $\lambda_{ij}$ ) increases with  $\pi_j$ , the likelihood of having the disease, then current patients have private incentives to target referrals to contacts most likely to be infected even under a system of fixed payments only. However, current patients and

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<sup>9</sup>As we describe later in more detail, we calibrate the payment structure in our experiment such that the total expected value of third-party payments for a new referral is the same for current patients assigned to pure fixed-payment incentives or to a combination of a fixed payment plus a contingent reward.

their contacts could behave strategically to take advantage of fixed payments. The introduction of contingent payments allows us to determine the extent of such opportunistic behavior. When contingent payment is introduced,  $CP_i$ 's expected payment depends directly on his information about a contact's characteristics, and  $CP_i$  has stronger incentives to make use of his knowledge about his contact's health. Therefore, the probability that any prospective patient actually has TB is greater when that individual is referred by a current patient eligible for contingent payments  $p_i$ , rather than by a current patient eligible for a fixed payment  $f_i$  of equal expected value.<sup>10</sup>

In our experiment, referrals can be operationalized in one of two ways. The first involves personal contact between  $CP_i$  and  $j$ . Alternatively,  $CP_i$  could provide contact information for  $j$  to a third party, such as a health worker. The health worker could either reveal  $CP_i$ 's identity as the impetus for the contact or conceal it. These strategies vary in their implications for  $q_{ij}$ , the quality of information received by  $j$ , and  $s_{ij}$ , the social cost to  $CP_i$  of referring  $j$ . Direct conversation between  $CP_i$  and  $j$  can transmit both objective (symptoms of TB, location of testing and treatment centers, duration of treatment, etc.) and subjective (personal experience with health workers, experience with side effects of medication, etc.) information. That information may carry additional weight because of the preexisting relationship between  $CP_i$  and  $j$ . In contrast, outreach by a health worker transmits objective information but not the subjective experience of a personal contact. The perceived quality of the information conveyed by the health worker may be enhanced when the health worker indicates to  $j$  that she visits at the behest of  $CP_i$ . Whether the ultimate quality of information received by  $j$  is higher or lower for outreach by current patients or health workers depends on the weight  $j$  places on subjective versus objective information and on the effectiveness and accuracy of current patients relative to health care workers in communicating about TB. If prospective TB patients value subjective information highly or trust their contacts substantially more than they trust health workers, then outreach by current patients could raise  $q_{ij}$  by more than outreach by health workers, making it likelier that the prospective patient presents for screening.

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<sup>10</sup>One additional requirement for conditional incentives to result in more referrals and more symptoms identified is that symptoms that are observable by current patients must correlate with the probability of having TB.

Variation in whether outreach is conducted by current patients or health workers also manipulates  $s_{ij}$ , the social cost to  $CP_i$  of referring  $j$ . While personal contact between  $CP_i$  and  $j$  may facilitate the exchange of information about the benefits of treatment,  $CP_i$  incurs time costs for the interaction, which may increase in the quality of information conveyed. By design, peer outreach also reveals  $CP_i$ 's status as a TB patient to  $j$  and therefore increases  $CP_i$ 's costs. If, instead, a health worker reaches out to  $j$  and conceals  $CP_i$ 's identity, this removes the negative component of the social reward term  $s_{ij}$  but does not necessarily affect its positive component because  $CP_i$  may still enjoy the “warm glow” of having helped someone (and is free to personally tell  $j$  of the referral if he so chooses). Thus, if stigma is an important deterrent to referrals and enters  $s_{ij}$  strongly negatively, then we expect more referrals in experimental conditions that conceal the current patient's identity. If the intensity of peer outreach increases  $q_{ij}$ , then peer referral should be more effective when peers are incentivized to provide high-quality information.

The predictions we have discussed thus far relate to how changes in the value of fixed and contingent third-party payments affect the probability that a current patient  $i$  refers a social contact  $j$  for TB screening. We now consider two additional implications of the model and experimental design. The first regards the characteristics of the social contacts  $j = 1, \dots, n_i$  who are referred for TB screening. While current TB patients likely face lower outreach costs than health workers because the patients regularly interact with people who share their TB risk factors, their contacts vary in vulnerability and marginalization. On one hand, more vulnerable individuals may be more likely to have TB but less likely to have access to information about testing and treatment. On the other, social costs associated with referring a more vulnerable or marginalized contact may be higher because of lower social reward or higher time cost for the interaction. Therefore, both types of incentives may change the composition of referred contacts by increasing the chance that vulnerable individuals are identified.

## 3 Context and Experimental Design

### 3.1 Context

Tuberculosis is a disease caused by bacteria that spread from person to person through the air. TB typically affects a person’s lungs, although it can affect other body parts such as the brain and kidneys as well. The TB bacteria attack the body, destroying tissue. Symptoms of pulmonary TB include chest pain, persistent cough, coughing of blood and phlegm, weakness and fatigue, night sweats, and weight loss. The disease is highly debilitating and has a high mortality rate when untreated. As noted earlier, TB is currently the ninth leading cause of death worldwide and the leading cause of death from a single infectious agent.

Tuberculosis can be treated and cured by multidrug regimens that have been available since the 1950s. Treatment consists of several antibiotics that kill the TB bacteria; a typical treatment course takes six months and patients take medicines two to three times per week. The Indian Government (in partnership with the WHO) provides these medicines at no cost to patients.<sup>11 12</sup>

Tuberculosis is a major public health problem in India. The nation has the largest number of TB cases in the world and accounts for more than one-quarter of the global TB burden. Almost three million people develop active TB each year and it caused 435,000 deaths in India in 2016. The country is among the WHO’s “high-burden” countries for TB, MDR-TB, and TB/HIV co-infections (World Health Organization, 2017). The Indian Government’s TB control initiative, the RNTCP, is coordinated by TB officers appointed at the district and state levels. TB services are delivered through

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<sup>11</sup>Laurence et al. (2015) report that in India, the cost of a full course of medication for drug-susceptible TB was \$US 15 per patient as of 2005; on average across low-income countries, the cost was \$US 49 per patient.

<sup>12</sup>Although patients typically start to feel better after taking the medicines for a few weeks, it is important to take them as prescribed and to complete the entire treatment course in order to be cured. Failure to complete the treatment not only results in failure to be cured, but it may also make the bacteria resistant to the medicines, leading to Drug-Resistant TB (DR-TB), Multi-Drug-Resistant TB (MDR-TB), or even rarer and harder-to-treat strains. DR-TB and MDR-TB are more difficult (and more expensive) to treat than simple TB because the bacteria are resistant to one or more drugs.

the existing health infrastructure in which community centers serve as treatment clinics to administer DOTS to patients and monitor treatment. Nongovernmental and private providers are systematically and actively engaged under the RNTCP. In 2008, about 3,000 NGOs and 20,000 private practitioners were part of the RNTCP effort.

Our study partner, Operation ASHA, operates about 200 community-based DOTS centers in several cities in 11 Indian states. Operation ASHA employs community health workers, known as “providers” or “counselors,” whose job description includes detection of and outreach to new symptomatics as well as monitoring of drug therapy for patients in treatment. Although Operation ASHA is an NGO, it works within the existing structure of the RNTCP. When prospective patients (“suspects”) are identified as presenting symptoms consistent with TB (“symptomatics”), they are directed to a government testing center for a sputum test. Those who test positive for TB enroll in one of Operation ASHA’s treatment clinics, where their medication is dispensed at no charge to them, according to DOTS standards and conforming with RNTCP guidelines and protocols.<sup>13</sup>

### 3.2 Experiment setup

Our study consisted of a randomized controlled trial implemented in 122 DOTS centers in 10 cities across three states (Delhi NCR, Madhya Pradesh, and Rajasthan). The intervention was implemented by JPAL-South Asia at IFMR in five waves between January 2016 and October 2017.

We augmented Operation ASHA’s established use of community health workers and DOTS treatment by incorporating financial incentives to encourage referrals of new suspects by current patients. More specifically, we used a cross-randomized design to test, respectively, three types of incentives for referrals and three types of outreach to prospective TB patients (described in detail below). The baseline sample was drawn from all Operation ASHA patients receiving treatment who were at least two weeks into their TB medication course when the baseline surveys commenced. We expanded the

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<sup>13</sup>Patients present at the clinic to take their medication according to treatment regimen and start date. As part of the proprietary biometric monitoring system employed by Operation ASHA, counselors verify patients’ fingerprints before dispensing medication. At the end of the prescribed treatment period, all patients are tested to determine whether they have been cured.



sample to include patients who had completed their six-month treatment in the three months before the start of the baseline surveys. Current patients were either in the intensive phase (IP) of treatment, where they came to the clinic three times per week, or in the continuing phase (CP) of treatment (typically following IP), which required them to come to the clinic once a week.<sup>14</sup> In cases where the patient was a minor, the survey questions were addressed to the legal guardian. The experiment was rolled out in five waves between March 2016 and October 2017. A total 3,176 patients were included in our study.<sup>15</sup>

For treatment and control centers, each current patient was visited by a survey enumerator in a private location such as the patient’s home. Enumerators obtained informed consent and administered a baseline survey. Information was collected on the current patient’s socioeconomic characteristics, physical and psychological health, and TB treatment, as well as on information-sharing networks. At the end of the survey, patients at treatment and control centers were prompted to think about individuals outside their households who they believed might be affected by TB. (“Please think of people you know who have TB symptoms.”) According to RNTCP protocol, immediate family members of TB patients should automatically be tested for TB, and were, as such, excluded from our referral schemes because they were already known to the system. Then, for treatment centers only, all patients were told, “We are promoting outreach for tuberculosis to encourage more people to get tested and treated, and we invite you to join this effort.” They could do this by recommending TB testing for people they knew socially and believed to have symptoms; these new suspects would receive referral

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<sup>14</sup>Patients suffering from MDR-TB, Extremely Drug-Resistant (XDR-TB), or Totally Drug-Resistant (TDR-TB) were not included in the sample.

<sup>15</sup>The Operation ASHA lists we received included 4,203 patients. Of these, 3,402 (81% of the total) were surveyed at baseline and enrolled in the study. Reasons why some patients were not surveyed included: a move to another city or district, inability to track them after three enumerator visits, or a diagnosis of MDR-, XDR-, or TDR-TB. There was no economically or statistically significant association between the proportion of listed patients who could not be surveyed at baseline and experimental conditions (see Appendix Table A1). The baseline included 226 patients in 10 clinics who were subsequently omitted from the analysis because a change in Operation ASHA’s relationship with the leadership at the government testing center in Bhubaneswar, Odisha meant we were not permitted to access administrative endline data for these patients.

cards with information about the screening process. An example of the referral card distributed by current patients is provided in Figure 1. The cards contained information about Operation ASHA, names and addresses of local providers and treatment clinics, a list of TB symptoms, and an ID number used by Operation ASHA and the research team to link the card to the referrer and to distribute incentives according to the study design. New suspects were expected to bring these referral cards to Operation ASHA centers, where they would be screened by health providers and sent for further testing (if required) as per RNTCP mandates.

This process, from a suspect’s arrival at an Operation ASHA health center to testing and, if necessary, treatment, was recorded in a referral register at the center that was updated with the relevant outcome at each step, including the result of the screening, whether the new suspect got tested, the results of the test (for symptomatics who got tested), and whether the newly identified TB-positive individual enrolled in treatment.

### 3.3 Experimental variation: incentive conditions

The first type of experimental variation was in the reward offered for each new suspect who sought screening and presented a referral card linked to a current patient. In one-third of centers, there was no financial reward, only encouragement to participate for the good of the community. In these centers, both  $f_i$  and  $p_i$  were thus zero. In another third of centers, current patients were offered Rs. 150 for each new suspect who got screened at their behest. This treatment condition corresponds to  $f_i = 150$  and  $p_i = 0$ . This amount equals about \$US 3 and is roughly equivalent to the median daily income in India.<sup>16</sup>

Finally, in the remaining third of centers, current patients were offered Rs. 100 for each new suspect who got screened and an additional Rs. 150 if the suspect tested positive for TB. This corresponds to  $f_i = 100$  and  $p_i = 150$ . The fixed payment provided some insurance to the referrer; the size of the fixed payment and the bonus was calibrated such that the conditional and unconditional incentives were of equal

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<sup>16</sup>Diofasi and Birdsall (2016) report median daily incomes of \$US 2.50 in rural India and \$US 3.50 in urban India.

expected value based on the rate of positive tests in a pilot study conducted between June and September 2012. As we will show, these turned out to be roughly the same in the full study.

### **3.4 Experimental variation: outreach conditions**

The second type of experimental variation was in the nature of the referral itself. We compare peer outreach to two types of health-worker-facilitated outreach. In the one-third of centers assigned to the peer-outreach conditions, referral cards were given to current patients. They were asked to approach people they knew socially and believed to have TB symptoms, inform them about TB's consequences and treatment, give them cards, and encourage them to get tested. Current patients had up to 30 days to deliver the cards, and new suspects had an additional 30 days to present themselves at an Operation ASHA center for screening.<sup>17</sup> The enumerators asked current patients to emphasize to the new suspects the importance of bringing the card when coming for screening. Current patients were also told they were free to request additional cards, if needed; this was done to avoid creating a perception of scarcity that might have resulted in different opportunity costs of providing cards in the various experimental conditions.

The health-worker outreach conditions represent the current best practice regarding outreach and treatment of communicable disease, and they are conceptually closest to community-based targeting schemes. In these treatment centers, current patients were asked to provide names and contact information of people in their social network who might benefit from getting tested for TB, so that a health worker could follow up by visiting these individuals.<sup>18</sup> Current patients were shown the referral cards and told that the Operation ASHA health worker would deliver the cards to the people they named. As in the peer conditions, patients were told they had 30 days to provide names and the new suspects would have 30 days to present for screening after receiving cards from

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<sup>17</sup>A new suspect who arrived outside the 60-day window would still be screened, tested, and treated if necessary, but the current patient would not receive credit for the referral per study protocols.

<sup>18</sup>Contact information could be an address, instructions about how to reach a contact's home, a phone number, or other information available to current patients that would enable health workers to visit their contacts. This flexibility was intended to reduce barriers to making referrals.

a health worker.

Half of the health-worker outreach centers were assigned to the “referrer-identified” condition, in which current patients were told their names would be used when the health worker approached new suspects on their behalf; the specific language was, “[Name] was concerned about your health and asked me to visit you.” This condition is comparable to the peer-outreach conditions in terms of stigma, because the current patient’s TB status is revealed to new suspects he names. The remaining health-worker outreach centers were assigned to the “anonymous” condition, in which current patients were told their names would not be revealed to the individuals they referred. Instead, health workers would tell the new suspects, “Someone was concerned about your health and asked me to visit you.”

To ensure that current patients in the peer-outreach conditions received the same level of priming as those in the contact-tracing conditions, patients in the peer-outreach conditions were also asked to provide names and contact information of people they knew who might benefit from getting tested for TB.<sup>19</sup>

Note that while all incentive treatments designate financial rewards to be paid to the current patient, it is possible that current patients and the new suspects they identified chose to divide the money between themselves according to a sharing rule of their own election. This does not undermine our research design; such side payments are simply an element of the social reward that forms part of the expected benefit (or cost) of making a referral. The policy-relevant estimate of the effect of incentives allows for side payments to take place naturally at the discretion of current patients and new suspects.

While effort by peers is an outcome of the study, we monitored the Operation ASHA health workers closely to ensure they visited all the contacts named by the current patients. Health workers were told that the JPAL team would survey each contact and ask whether they had been visited by an Operation ASHA representative and given the referral cards. Health workers were compensated for their participation in the outreach

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<sup>19</sup>Of course, patients in the peer-outreach conditions were not limited to reaching out to the contacts they named. As shown in Appendix Table A3, patients in the peer-outreach conditions initially listed fewer names than those in the contact-tracing arms. However, they made more referrals than the names they provided at baseline.

and monitoring activities required by the study. They received fixed monthly payments if the JPAL team determined they completed required activities. Compliance was high: 87.5% of new suspects named in the contact-tracing arms were visited by health workers (85% in the encouragement arms and 88.4% in the incentivized arms).

This experimental variation in outreach strategy relates to the social cost of a referral,  $s_{ij}$ . Peer referrals carry two types of costs: the time cost of the interaction itself and the stigma cost of revealing one’s own TB status to a peer (and hinting that the peer might have TB). Referrer-identified contact tracing eliminates the time cost to the current patient (and shifts it to a health worker) but, because the health worker explicitly names the peer who provided the referral, it maintains the stigma cost. Anonymous contact tracing carries neither time nor stigma cost at the margin for the current patient.

$s_{ij}$  captures net social costs and incorporates social benefits from referrals. It is unclear how to rank the treatments in terms of their social benefits to current patients. Current patients may experience a “warm glow” from helping others even if their contribution is anonymous. Or, they may feel greater satisfaction—or receive gratitude from their peers—for in-person or identified outreach strategies. Since peer referrals may have higher costs and benefits than identified or anonymous contact tracing, the question of which strategy will generate more referrals is an empirical one.

In the public health context, the information conveyed to the new suspect is paramount. Health workers and peers may differ in  $q_{ij}$ , the content of the information they convey, and in the credibility with which the information is perceived. On one hand, health workers may be better informed about symptoms and treatment of TB, and their expertise may be respected by prospective patients. On the other hand, current patients are able to provide firsthand testimonials about the experience and benefits of treatment from a patient’s perspective. Furthermore, because current patients are asked to speak to people they know personally and believe to have symptoms of TB, the personal connection may also build trust and enhance the value of the information exchanged. In the identified contact-tracing conditions, where health workers reveal the identity of the referring current patient, some of that credibility may be recovered through the endorsement.

The final design thus randomly assigned 122 clinics to a pure control condition or one of nine treatment conditions. Figure 2 summarizes the research design and indicates how many clinics and patients were assigned to the pure control condition and to each of the nine treatment conditions.

### **3.5 Incentivized elicitation of outreach effort**

After responding to the endline survey (see next section for details on data collection), participants were offered the opportunity to return unused referral cards to the enumerators for a payment of Rs. 10 per card. This provided an incentive-compatible measure of how many cards were not distributed, in contrast to simply asking respondents, who may exaggerate the number of cards distributed because of experimenter demand effects. By combining this measure of the number of cards not distributed with administrative data about the number of cards brought to Operation ASHA providers, we were able to compute the number of cards distributed by current patients but not redeemed by suspects—information that helped us identify the nature of the barriers to referrals and testing. Patients were not told about the card buyback in advance in order to prevent strategic or risk-averse behavior with regard to card distribution.

## **4 Data and Results**

### **4.1 Data**

Our analysis combines administrative data from Operation ASHA with two rounds of surveys of current patients and the new suspects they identified. The administrative data include rosters of baseline patients and new suspects (collected as part of the normal outreach and enrollment procedures), ID numbers for current patients who referred each new suspect, and information on treatment adherence for all patients. Baseline surveys of current patients measured their socioeconomic characteristics, physical and psychological health, risk- and information-sharing networks, and attitudes toward Operation ASHA and TB treatment. After the intervention, endline surveys were conducted with

current patients to capture information on health outcomes and satisfaction with Operation ASHA. These surveys also included questions about the referral schemes in order to learn what information current patients had shared about incentives or other aspects of the program. Intake surveys were also administered to the new suspects identified through the schemes: these measured their characteristics and their relationship with the patient who referred them.

## 4.2 Patient characteristics and balance tests

Tables 1 and 2 provide the means and standard errors of patients' baseline characteristics overall as well as by incentive condition and outreach type. As a result of working with a large provider operating in multiple states, our sample is not only large but also heterogeneous on many sociodemographic dimensions. The average current patient in the study was approximately 37 years old, and about 40% of baseline patients were women (World Health Organization (2017) reports that 65% of new incident TB cases are male). About 70% of the patients had some literacy, 30% had secondary education, and 61% had a bank account. Eighty-three percent of the respondents had never been previously treated for TB.

Tables 1 and 2 show that the randomization resulted in patients having similar characteristics across experimental conditions. To formally test for balance, we implemented omnibus balance tests that compared pairs of treatments using linear probability models. Specifically, we compared the probability of assignment to each of the three incentive treatments (separately) relative to the control group and to the other incentive treatments, and to each of the three outreach treatments (separately) relative to the control group and to the other outreach treatments. These tests involve 12 separate regressions: six comparing an incentive or outreach treatment to the pure control group, three comparing pairs of incentive treatments, and three comparing pairs of outreach treatments.

The p-values for the F-tests that the covariates included in Tables 1 and 2 jointly predict assignment are reported in Appendix Table A2. Six specifications compare a treatment arm to the pure control group. In all six, we fail to reject the null that the

covariates jointly predict assignment at the 95% confidence level (the p-value for the F-test for the comparison between any treatment group (pooling across the nine treatment arms) and the pure control group is 0.4497). Among the outreach treatments, covariates are reasonably well-balanced between each of the three possible pairs of treatments, with p-values of 0.38 or greater in two cases (peer outreach compared to anonymous contact tracing, and identified compared to anonymous contact tracing), and a p-value of 0.025 in one case (peer outreach compared to identified contact tracing). We also fail to reject the null that covariates are well-balanced between the unconditional incentive and encouragement treatments and between the conditional and unconditional incentive treatments. However, the p-value for the test that the coefficients on covariates are jointly zero when comparing the conditional incentive to the encouragement treatment is 0.001. Thus, of 12 tests, two p-values are less than 0.05. In economic magnitude, the control group has a higher value of the asset index (0.15 standard deviation) and reports between 0.43 and 0.84 more social contacts than each of the treatment arms. While our preferred specifications mirror the experimental design in including only city fixed effects, the magnitudes and statistical significance of our estimates are virtually unchanged by the inclusion of the baseline covariates from Tables 1 and 2 or, alternatively, covariates selected using the double-lasso procedure described in Belloni et al. (2014). Details on the double-lasso procedure are provided in section 5.4.1 and results from these alternative specifications are reported in the Appendix.

### 4.3 Overview of aggregate outcomes

Although our experiment is designed to measure the effects of various referral schemes on an individual patient’s behaviors, we begin by presenting the aggregate outcomes of the study.

A total of 216 new suspects were screened by Operation ASHA health workers as part of this study in the nine experimental conditions combined. Of these, 170 (78.7%) presented symptoms consistent with TB and were sent for testing at the government testing centers. Compared to other case-finding efforts in India, this effort identified a large number of symptomatics, especially relative to the scale of outreach. As noted



earlier, Charles et al. (2010) conducted outreach to 18,417 individuals and found 640, or 3.5%, had symptoms consistent with TB.

In our study, 123 individuals who had been screened subsequently presented themselves for testing at a government center. Thirty-five were found to have active TB, of whom 34 began treatment immediately. All were previously unknown to the health care system and because of the study’s stringent requirements, none were immediate family members of the current patients who referred them. Prospective patients in the contact-tracing conditions were neighbors (47%), relatives (41%), and friends or coworkers (12%) of the current patients who referred them. The corresponding percentages in the peer-outreach conditions were 48%, 35%, and 17%, respectively.<sup>20</sup> The 28% infection rate of the new symptomatics who were identified and tested through our referral schemes is more than twice as large as the 12% average TB-positive rate reported in official RNTCP statistics (the average rates in the states where we conducted our study were 14% in Delhi and Madhya Pradesh, and 17% in Rajasthan).<sup>21</sup>

#### 4.4 Analysis

We study current patients’ responses to incentives and their efficacy as outreach agents by matching new suspects who were screened and tested to the current patients who referred them through the unique ID codes embedded in the referral cards. We report four nested outcomes, each an integer value and measured at the level of the current patient.

First, we measure the total number of new suspects who were linked to a current patient and who presented themselves for screening at the Operation ASHA centers. Second, we measure the number of these suspects who were subsequently sent for testing. This distinction is important because it indicates whether the referral strategies in this experiment resulted in targeted testing of symptomatic individuals, or whether current patients were unable (or unwilling) to distinguish between peers with symptoms of TB

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<sup>20</sup>The provision of incentives did not have any economic or statistically significant effects on the relationship between referrer and referee.

<sup>21</sup>In a study in Nepal that used peer volunteers to identify TB cases (Joshi et al., 2017), 6,046 suspects were tested over a period of 16 months, resulting in 287 TB diagnoses, or 4.3%.

and those without. As part of its partnership with the RNTCP, Operation ASHA routinely screens prospective patients and sends those with symptoms indicative of TB for testing at government-designated microscopy centers (DMCs). It played the same gatekeeping role in screening prospective patients identified through the referral schemes. Third, we measure the number of symptomatics who actually got tested, as testing is a necessary condition to obtain treatment but requires effort by symptomatics (who have to report to a DMC) and represents a critical juncture for loss to follow-up. Finally, we measure and report the number of positive cases of TB attributed to the outreach of each current patient in the sample.

Note that we instituted procedures to capture any peer referrals made by current patients of pure control centers. Operation ASHA health workers at all centers kept a record of the source of each new suspect screened during the intervention period. But in practice, and in accordance with the extremely low rate of peer referrals that motivated this study, all outcomes equal zero for current patients in the pure control group.

Also note that our outcome variables are the union of behavior by current patients—who decide whom to approach or to have contacted by a health worker, how much effort to exert, and what information to share—with the behavior of new suspects, who decide whether to follow up to be screened and, when recommended, tested. Each of the referral modalities we consider has advantages and disadvantages to current patients and new suspects. Our approach is to test the relative performance of each type of referral using reduced-form specifications that capture the total effects of the ways these strategies differ in costs, benefits, and information provided. Nonetheless, a comparison of the effects of various incentive types and outreach modalities provides information about the mechanisms through which referrals may (or may not) prove valuable in this context.

#### 4.4.1 Financial incentives

To measure the effect of incentives on referrals generated by current patients, we use OLS to estimate linear models of the form:

$$y_{ijc} = \alpha + \beta_1 \text{Encouragement}_{jc} + \beta_2 \text{Conditional}_{jc} + \beta_3 \text{Unconditional}_{jc} + \Gamma_c + \epsilon_j \quad (2)$$

where  $i$  indexes current patients,  $j$  are clinics (the level of treatment), and  $c$  are cities.  $\Gamma_c$  are city fixed effects, which absorb state and wave fixed effects (randomization was stratified by city). The omitted category in this specification is pure control clinics, so  $\beta_1$  is the effect of encouraging current patients to make referrals, relative to the status quo;  $\beta_2$  is the effect of offering current patients Rs. 100 for each new patient screened at their recommendation, plus an Rs. 150 bonus for any referrals who tested positive for TB (corresponding to  $f_i = 100$  and  $p_i = 150$ ); and  $\beta_3$  is the effect of offering current patients Rs. 150 for any new patient screened at their recommendation, regardless of test outcome ( $f_i = 150$  and  $p_i = 0$ ). We also report the estimated effect of financial incentives relative to encouragement (and the p-value for the tests that  $\beta_1 = \beta_2$  and  $\beta_1 = \beta_3$ ), and compare the conditional and unconditional incentive structures (reporting the p-value for the test that  $\beta_2 = \beta_3$ ). Recall that treatment is assigned at the clinic level; standard errors are therefore clustered at the clinic level.<sup>22</sup> <sup>23</sup> Additionally, we report p-values adjusted for testing 12 hypotheses (three coefficients and four outcomes), using the false-discovery rate methodology of Benjamini and Hochberg (1995).

While encouragement without financial reward does increase referrals relative to the pure control condition, the results from the main OLS specifications reported in Table 3 clearly indicate that financial incentives matter. From column 1, patients at clinics assigned to the encouragement arm referred, on average, 0.044 new suspects.

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<sup>22</sup>Our primary results are from linear models. Below, we also report estimates from linear probability specifications for binary analogs of the outcomes.

<sup>23</sup>Appendix Tables A8 and A9 report versions of Tables 3 and 4 with p-values obtained by Wild bootstrap (using the Stata command “boottest”). The statistical significance of the main estimated coefficients of interest is unchanged. Further, in Appendix Tables A10-A12 we reestimate equation (2) at the clinic level, where outcomes are clinic-level averages and regressions account for different-sized clinics.

Patients eligible for conditional incentives referred 0.102 new suspects and those eligible for unconditional incentives referred 0.096 new suspects. The p-value for the difference between encouragement and the conditional incentive is 0.09, and the p-value for the difference between encouragement and the unconditional incentive is 0.03. While money matters, conditionality apparently does not: the p-value for the test that the conditional and unconditional incentives have equal effect is 0.84. The pattern persists in other measures of referrals, including the number of new suspects recommended for testing (column 2), and the number of symptomatics actually tested for TB (column 3). Note in particular that the vast majority of suspects identified through this scheme were sent for testing, indicating that current patients were able and willing to identify individuals with TB symptoms. We find similar patterns when we consider positive TB tests (column 4), even though the results are less precise because this outcome variable is defined more granularly and with correspondingly lower variation. As noted in section 4.3, more than one-quarter (28%) of the new suspects identified through any of the treatment arms who got tested were ultimately diagnosed with TB, a higher rate than in the public sector in India during the same time period. As shown in column 4 of Table 3, current patients in the unconditional incentive treatment group identified, on average, 0.013 new TB patients, whereas current patients in the conditional incentive treatment group identified 0.005 new TB patients (the p-value for the test that the effect of the two incentive treatments is jointly zero is 0.04).<sup>24</sup> The estimated effects of unconditional and conditional incentives remain statistically significant even after adjustment for multiple hypothesis testing for suspects screened, tests recommended, and symptomatics tested (but not for positive tests).

All of our specifications are robust to including the patient-level covariates from the balance tests, and those specifications are provided in the Appendix. In the Appendix, we also report results from specifications using the double-lasso procedure described by Belloni et al. (2014) to select covariates for inclusion as controls. This three-step

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<sup>24</sup>In the encouragement group, current patients were responsible for detecting an average of 0.003 cases of TB. The difference between the encouragement group and the unconditional group is significant at the 90% confidence level ( $p=0.09$ ), and the difference between the encouragement group and the conditional incentive group is not significant at conventional levels ( $p=0.30$ ).

procedure first uses lasso to select controls from among all of the baseline variables in our sample (and, for continuous variables, their squares) that are correlated with treatment assignment; second, it uses lasso to select additional controls that predict the outcome variable; and third, it estimates equation (2) via OLS, including all of the selected controls. We implement this procedure using the Stata command “`pdslasso`,” selecting controls separately for each of the four outcomes of interest, and including city fixed effects as unpenalized regressors. Point estimates for the effects of the experimental treatments are very similar using the double-lasso procedure to our main specifications with no baseline covariates (see Appendix Table A6).

We interpret these results as evidence that current patients respond to encouragement—and especially to financial incentives—to share information about treatment for a communicable disease. Screening, testing, and identification of TB patients are all measures of welfare in a context with a high disease burden, where it is important to either diagnose TB or rule it out as a cause of illness. Behavioral responses by current patients, who can identify more new suspects when offered a financial incentive to do so, translate into small but economically meaningful and statistically significant increases in case finding.

#### **4.4.2 Outreach strategies**

The second set of current patient-level analyses focuses on the effects of peer outreach and two variants of contact tracing, identified and anonymous, relative to a pure control condition. While the analysis in section 4.4.1 is similar to the analysis of referrals in labor market contexts, this section explores a margin of referrals not discussed in the job referrals literature that is potentially especially important in a health context.

In all clinics, including the pure control group, health workers are tasked with routine outreach to and screening of the immediate household members of newly diagnosed patients. Our analysis focuses on suspects who are screened and tested because of the recommendation of a current patient, not through Operation ASHA’s standard operating procedures. Outcomes for current patients in the control group are equal to zero in practice, though not by definition.

As in the previous section, we pool across treatment arms to estimate regressions of the form:

$$y_{ijc} = \alpha + \gamma_1 \text{Peer}_{jc} + \gamma_2 \text{Identified}_{jc} + \beta_3 \text{Anonymous}_{jc} + \Lambda_c + \mu_j \quad (3)$$

Any peer-facilitated outreach is more effective than the status quo; 10 of the 12 coefficients reported in Table 4 are significantly different than zero even after adjustment for multiple hypothesis testing, and we reject that the joint effect of the three treatment arms is equal to zero for all four outcomes. Peers are more effective than trained and paid health workers at inducing suspects to get screened and tested, even though the suspects approached in both contact-tracing arms are identified by current patients. The interaction between a current patient and a suspect increases the probability of screening and testing. Current patients who were asked to recruit new suspects directly through peer outreach induced an average of 0.124 new suspects to report for screening, compared to 0.054 for those approached by health workers on behalf of a named peer (identified contact tracing) or 0.056 for those approached by health workers on behalf of an unnamed peer (anonymous contact tracing). Results are similar when including baseline covariates from Table 2 (see Appendix Table A5) or those chosen through double lasso (see Appendix Table A7).

The p-values for the differences between peer outreach and the two contact-tracing arms are 0.01 and 0.03, respectively. There is no economic or statistically significant difference between the anonymous and identified outreach modalities. The three treatments are comparable in their efficacy in increasing the number of symptomatics tested. Peer referrals have a statistically significant effect (at the 95% level) on the number of TB cases found (0.010 per current patient in the peer-outreach arm), but differences with respect to the contact-tracing arms are estimated imprecisely.

Peer outreach results in the screening of twice as many new suspects as contact tracing by health workers, despite the additional transaction costs borne by current patients in the peer-outreach conditions. This suggests peers are more effective at conveying information about the benefits of treatment to convince suspects to seek health counseling, an intuition that is confirmed by the analysis of complementarities between

financial incentives and peer outreach in Section 4.4.4.

### 4.4.3 Extensive margin

The magnitude of the individual response to incentives is substantial. Previous sections consider the number of new suspects detected, but for a more complete understanding of the referral process, and for the purpose of comparing the magnitudes of our results to the related literature on job referrals, we also estimate linear probability models that correspond to equations (1) and (2) but have binary dependent variables: any new suspects screened, any new symptomatics sent for testing, any new symptomatics who get tested, and any new symptomatics whose sputum test results are positive.

Some 3.8% of current patients in the encouragement arm made at least one referral that resulted in a screening, compared to 6.1% of patients who received the unconditional incentive of Rs. 150 for each new suspect screened; the difference of 2.3 percentage points is statistically significant at the 90% level (see Appendix Table A14). In the conditional incentive arm, 4.9% of current patients made at least one referral that resulted in the screening of a new suspect, an effect that is significantly different from zero but not from the point estimates for either encouragement only or the unconditional incentive.<sup>25</sup> Since the modal number of new suspects screened conditional on making any referrals is one, it is not surprising that the extensive margin results are qualitatively very similar to the results in the previous sections; both the financial incentives and outreach interventions operate primarily at the extensive margin.

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<sup>25</sup>In an experiment with a similar design but a different context for social costs and benefits, Beaman and Magruder (2012) found that an unconditional incentive of Rs. 110 (in 2009, equivalent to Rs. 184 in 2017) increased the probability of referring a contact for a day of paid employment by 7.7 percentage points, from a base probability of 69.5. In percentage terms, similar economic incentives had a bigger effect on referrals in our public health context than in the employment context. This is striking since the total social costs  $s_{ij}$  of referrals for TB testing and treatment may be higher due to stigma, and because job referrals may generate income that a contact can share with a referrer, but health referrals do not—at least in the short term.

#### 4.4.4 Complementarities between incentives and outreach modalities

Next, we investigate whether there are complementarities between incentives for referrals and outreach modality. Peer outreach is more costly to current patients than providing names to health workers because of the time and effort required to perform outreach activities as well as the social cost of interacting with others to discuss a potentially uncomfortable subject. Therefore, we hypothesize that incentives might be particularly effective under the peer-outreach modality.

Because the results in sections 4.4.1 and 4.4.2 indicate no economically or statistically meaningful differences of the conditionality of incentives or anonymity of contact tracing, we test for complementarities between financial incentives and peer outreach by pooling the two incentive types and the two contact-tracing variants to estimate the following regression:

$$y_{ijc} = \alpha + \psi_1 \text{no\$Peer}_{jc} + \psi_2 \text{no\$Contact tracing}_{jc} + \psi_3 \text{\$Peer}_{jc} + \psi_4 \text{\$Contact tracing}_{jc} + \Gamma_c + \epsilon_j \quad (4)$$

where no\$ denotes conditions with no incentives and \$ indicates groups with incentives.

The results from this exercise, shown in Table 5, indicate strong complementarities between financial incentives and peer outreach. Each current patient in the peer-outreach conditions produced, on average, 0.178 new suspects (significantly different from zero in the control group at the 99% level) when incentives were provided, compared to 0.036 (not statistically significant) in the absence of incentives. The p-value for the test that peer outreach is equally effective with and without incentives is 0.01. Similar patterns are observed for the other outcome variables, with incentives significantly enhancing the effect of peer outreach on the number of new symptomatics recommended for testing, the number of symptomatics actually tested, and the number of new TB cases detected. In all cases, the estimated effects remain statistically significant at the 95% level even after adjustment for multiple hypothesis testing. Current patients in the incentivized peer-outreach conditions identified, on average, 0.017 new TB patients (significantly different from zero in the control group at the 95% level), and the p-value



for the test that incentivized and nonincentivized peer outreach are equally effective at identifying TB-positive cases is 0.05.

In contrast, the estimated effects of contact tracing are similar with and without financial incentives. For example, each patient in the contact-tracing conditions resulted in 0.063 new suspects screened (significantly different from zero at the 95% level) with incentives and 0.048 new suspects (significant at the 99% level) screened without incentives. We cannot reject that contact tracing with and without financial incentives is equally effective for all four outcomes. Moreover, the differential effectiveness of peer outreach relative to contact tracing is driven by the interaction with financial incentives.

#### 4.4.5 Effort by current patients

Recall that we obtain an incentivized measure of effort from current patients assigned to the peer-outreach arms. (Only these current patients were given physical cards; in the contact-tracing arms, cards were distributed by health workers). After the endline survey, we offered to buy back any remaining referral cards. Some patients reported they had lost their cards; of 869 respondents assigned to peer outreach, the 195 who returned zero cards represent those who distributed 10 cards and those who lost or discarded the materials. The number of cards returned is a lower bound on the number of cards not distributed to new suspects. Nonetheless, a comparison of the number of cards returned by current patients eligible for different incentive schemes provides some information about the margin of effort. In the encouragement arm, current patients returned an average of 7.24 cards. Current patients eligible for unconditional incentives returned 0.04 additional cards and those eligible for conditional incentives returned 0.07 fewer cards; relative to the encouragement arm, those differences are neither statistically nor economically significant.<sup>26</sup> This is striking because financial incentives strongly complemented peer outreach in increasing the number of new suspects screened and tested. It suggests financial incentives increased current patients' efforts to improve the quality of information they conveyed to new suspects ( $q_{ij}$ ) or to identify suspects with

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<sup>26</sup>Results available upon request; the regression specification corresponds to equation (7) and the sample includes all patients assigned to the peer-outreach arms.

characteristics—including observable symptoms of TB—that made them more likely to get screened conditional on receiving a card (higher  $\lambda_{ij}$ ).

#### 4.4.6 Heterogeneity by current patient characteristics

Our analysis of heterogeneous treatment effects reverts to separate specifications for incentive type and outreach type, and it focuses on five characteristics that potentially predict differential responses: asset ownership, social connection, delay in seeking treatment for TB symptoms, phase of treatment, and gender. Having demonstrated equal effects of conditional and unconditional incentives, and identified and anonymous contact tracing, respectively, we pool across treatment conditions.

Current patients with higher asset levels are likely to enjoy higher levels of consumption and to have higher opportunity cost of time. Wealthier current patients may be less responsive to incentives because the payments represent a smaller fraction of consumption. Wealthier patients may also be less effective when tasked with peer referrals because of their higher opportunity cost of time.

Current patients who are more socially connected (measured by their number of contacts in the previous 24 hours) may face lower costs (lower  $s_{ij}$ ) for each referral, predicting both more referrals on average and possibly a stronger response to financial incentives. The lower  $s_{ij}$  may also give these highly connected patients an advantage over less connected patients in making peer referrals.

Current patients who seek treatment quickly may receive a higher social benefit (and therefore a lower net social cost  $s_{ij}$ ) because of their own motivation or the perceived intrinsic value of making a referral. These patients may also be more effective in convincing peers to seek testing and treatment; this information can improve  $q_{ij}$  only if it is conveyed directly in the peer-outreach treatment but not indirectly in the contact-tracing arms. Therefore, we expect more referrals from current patients assigned to the encouragement group who quickly seek treatment for their own symptoms, but we have no clear prediction for the response to financial incentives. We also expect these early treatment seekers to be more effective in referring new suspects than are current patients who delay their own care. The early treatment seekers may also make more re-

referrals in contact-tracing arms, but only through naming more contacts and not through communicating their own experiences.

Current patients in the intensive phase (again, IP) of treatment have realized fewer benefits of treatment than those in the continuation phase (again, CP). They are more likely to experience side effects from the higher doses of medication they take and they are required to take observed doses more frequently than those in the CP. Therefore, they may bear higher costs of conducting outreach (higher  $s_{ij}$ ), leading to predictions opposite those for patients with many social contacts: fewer referrals on average, a weaker response to financial incentives, and less willingness to make peer referrals. The patients in the intensive phase have also reaped fewer benefits from treatment, so they may be less effective in communicating its benefits.

Finally, we consider heterogeneity by gender. This analysis is standard in public health and in studies of India, a highly gendered society. It is particularly relevant in the context of our study, because in at least some of the communities where we worked, women’s movement outside the household is strictly limited and social relationships are strictly gendered: men socialize with men, and women socialize with women. This means that women may have lower ability to make peer referrals and that new suspects they refer (who are disproportionately likely to be women themselves) may be less likely to report for screening.

To test these predictions, we create indicators for above-median asset ownership, connection, starting TB treatment without delay, and for being in the IP of treatment, respectively,<sup>27</sup> as well as for being female. We then estimate interacted versions of equations (1) and (2), pooling conditional and unconditional incentives and identified and anonymous contact-tracing treatments, respectively. The specification for the tests

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<sup>27</sup>The IP lasts for the first two months of treatment and the CP for months three through six. However, many patients require more than six months to complete treatment due to missed doses or other considerations. We set the indicator for intensive treatment equal to 1 for patients in the first two months of treatment and 0 for those in months 3–24. The indicator is coded as missing for the less than 1% of patients who reported that they started treatment more than 24 months before the survey.

of incentives is:

$$\begin{aligned}
y_{ijc} = & \alpha + \delta_0 \text{Above median} + \delta_1 \text{Encouragement}_{jc} + \delta_2 \text{Financial incentive}_{jc} \\
& + \delta_3 \text{Above median} \times \text{Encouragement}_{jc} + \delta_4 \text{Above median} \times \text{Financial incentive}_{jc} \\
& + \Gamma_c + \epsilon_j
\end{aligned} \tag{5}$$

and the specification for the test of outreach strategies is:

$$\begin{aligned}
y_{ijc} = & \alpha + \theta_0 \text{Above median} + \theta_1 \text{Peer}_{jc} + \theta_2 \text{Contact tracing}_{jc} \\
& + \theta_3 \text{Above median} \times \text{Peer}_{jc} + \theta_4 \text{Above median} \times \text{Contact tracing}_{jc} \\
& + \Gamma_c + \epsilon_j
\end{aligned} \tag{6}$$

Note that while we use the notation “above median” for convenience, the relevant indicator is coded as 1 for female patients and for those in the IP, respectively, in the specifications that consider those dimensions of heterogeneity. Because of statistical power considerations and to reduce the number of reported outcomes, we estimate these equations for only one outcome: the number of screened patients (corresponding to the outcome in column 1 of Tables 3 and 4).

We begin with Table 6, which estimates equation (5). As predicted, and shown in column 1, when assigned to the encouragement treatment, high-asset current patients made somewhat fewer referrals than current patients with below-median assets. While highly socially connected current patients made more referrals on average, they did not respond differentially to the financial incentives. In the encouragement arm, current patients who began their own TB treatment without delay made more referrals than those who delayed seeking treatment, and they referred 0.037 more suspects than those who delayed their own treatment. There is no clear pattern of differential response based on treatment phase. Finally, women did not make fewer referrals on average or respond differently to financial incentives than men.

Table 7 reports results for estimates of equation (6). While this specification confirms that highly connected patients make marginally more referrals (column 2), there is

almost no evidence of differential effectiveness across the outreach modalities. In most cases, the interaction effects are precisely estimated zeros. The statistical significance of the outreach strategies, the magnitudes of the coefficients, and the pattern that peer outreach generates approximately twice as many referrals as either of the contact-tracing strategies are similar to those in Table 4.

## 4.5 Effects of interventions on the characteristics of referred patients

The previous sections focused on the number of suspects screened and tested, and they relied on administrative outcomes. This section considers as outcomes the characteristics of the suspects who were referred to Operation ASHA and screened by a counselor (corresponding to outcomes in column 1 of Tables 3 and 4).<sup>28</sup> The unit of analysis is the new symptomatic. The objective is to learn whether financial incentives and peer outreach, respectively, are effective tools to identify disadvantaged individuals in need of TB care. We consider four outcomes, gender, and three measures of social status: literacy, asset ownership, and social inclusion.

First, we compare new symptomatics to current patients at baseline and present group means in Table 8. Forty percent of current patients and 37% of the new symptomatics were female. On other dimensions, the new symptomatics appear disadvantaged relative to the current patients, despite being drawn from the same social networks by design. Almost 70% of current patients had at least some literacy, compared to 45% of the new symptomatics (the p-value for the test that current patients and new symptomatics have equal literacy rates is 0.00). Current patients spoke to an average of 2.6 people outside their households in the 24 hours before the baseline survey, while new symptomatics were less well-connected, with an average of 1.4 contacts ( $p=0.01$ ). Of course, this may reflect that the new symptomatics were in poor health, while the

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<sup>28</sup>Of the 216 referrals screened, field teams were able to survey 172. Others had moved, refused to participate in the survey, or could not be tracked. There was no economic or statistically significant relationship between the probability that a suspect could be surveyed and whether the current patient who referred them was assigned to one of the treatment arms or the pure control condition.

current patients were on the way to recovery. Finally, there is suggestive evidence to indicate that the new symptomatics were poorer than the current patients: while the current patients had a mean asset index value of 0.031, the new symptomatics averaged -0.144. The difference is imprecisely estimated ( $p=0.43$ ) but large in magnitude.

Next, we study whether outreach strategies differed in their ability to identify disadvantaged individuals. For this analysis, each new symptomatic was assigned the treatment condition of the clinic where the referral originated. Since no suspects were screened as a result of referrals in the control clinics, the specifications in this section omit the control clinics. While the sample is both small and selected, Tables 9 and 10 provide descriptive evidence about the characteristics of prospective patients identified under various schemes.

In the first set of results, we estimate:

$$y_{ijc} = \alpha + \delta_1 \text{Financial incentive}_{jc} + \Gamma_c + \epsilon_j \quad (7)$$

where we pooled the conditional and unconditional incentive arms, and the encouragement condition is the reference category.

There is no indication that financial incentives caused current patients to identify relatively better-off patients than when they were asked to participate for altruistic reasons only. While the point estimates in Table 9 indicate little effect of incentives on the gender or literacy level of new symptomatics, those identified by incentivized current patients had lower asset levels than those identified by current patients in the encouragement condition. The difference of -0.675 points on the asset index is large relative to the mean asset score for symptomatics identified in the encouragement group (0.21), and the effect is significant at the 95% level.

We estimate similar specifications to compare the characteristics of symptomatics identified under the peer- and contact-tracing outreach strategies. In these specifications, the reference category is contact tracing (again, pooling the anonymous and identified arms):

$$y_{ijc} = \alpha + \theta_1 \text{Peer outreach}_{jc} + \Gamma_c + \epsilon_j \quad (8)$$

Suspects identified via peer referrals appear more disconnected than those identified through the contact-tracing strategies. On average, new symptomatics identified via contact tracing had 2.12 social contacts in the 24 hours preceding the survey, whereas we estimate that those identified through peer outreach had 1.77 fewer contacts (an effect statistically significant at the 95% level). It is striking that peer referrals resulted in the screening of suspects with statistically and meaningfully fewer social contacts than did outreach via health workers. These results suggest peers can effectively reach disconnected patients.

#### 4.6 Clinic-level analysis of potential crowding-out

While both financial incentives and outreach strategies affect individual-level behavior in meaningful ways, the total number of new suspects screened through the outreach schemes tested here is small relative to the stock and flow of these clinics.<sup>29</sup> We study clinic-level outcomes to rule out crowd-out rather than to precisely estimate an aggregate effect on the patient loads of these clinics. Crowd-out could occur through competition for health workers' time, especially if they allocate a fixed-time budget to outreach activities and substitute time spent on contact tracing or screening new suspects identified through the referral schemes for their status quo outreach efforts.

Appendix Table A13 presents results of clinic-level regressions where the dependent variable is the total number of new TB patients enrolled at Operation ASHA clinics during the study period, normalized by the clinic-level number of patients at baseline. We estimate four specifications, aggregating the experimental conditions as in Section 4.4.4. Eight of nine estimated coefficients are positive, including economically meaningful positive effects of peer outreach, although for the reasons explained above, the study is not adequately powered to detect differences in the number of new patients at the clinic level. Nevertheless, the point estimates do not suggest that the intervention crowded out enrollment of new patients through other intake streams, or otherwise had

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<sup>29</sup>On average, clinics in the control group added eight patients during the two months of the study, whereas the treated clinics added 11. Normalizing by the size of the clinic-level patient population at baseline, control clinics added 0.44 new patients and treated clinics added 0.52 new patients for each existing baseline patient.

negative effects on new patient enrollment.

## 4.7 Cost analysis

The academic research questions posed by this experiment concern the behavior of current patients. From a policy perspective, the key parameters of interest are the costs of detecting individuals with TB symptoms (who require screening, even if negative tests ultimately rule out TB and indicate the need for different treatment) and of identifying those who have the disease. We consider four categories of recurring expenses: incentive payments made for referrals, the production of referral cards, time costs of explaining the scheme to current patients, and wages paid to health workers. We calculate costs per treatment arm, aggregating as in the previous sections. We calculate average costs per treatment arm by dividing the total number of symptomatics screened or new cases detected, respectively, by the total across the four categories of costs within the treatment arm.

Incentive payments are straightforward to calculate and reflect actual amounts paid to current patients, depending on the rules of the treatment arm to which they were assigned. They are zero by definition in encouragement arms.

The referral cards printed for the project cost Rs. 8 (\$US 0.12) per card. In peer arms, each current patient was given 10 cards, and we include the cost of all those cards even though not all were distributed to prospective patients. In the contact-tracing arms, cards were distributed to health workers based on the number of referral names provided during the baseline survey, so the per-current-patient cost of cards was actually lower in contact-tracing arms than in peer arms.

We use administrative data captured by our computer-assisted interview interface to track the amount of time spent explaining the referral scheme to current patients, and arrive at an estimate of 10 minutes per patient to explain the scheme, in both peer and contact-tracing arms. Computed at the daily wage for field staff, these explanations cost Rs. 10.42 (\$0.15) per current patient.

Finally, while the health workers in this study were paid regular wages by Operation ASHA, the contact tracing required by this project was outside their usual scope of work.



Our project offered a fixed stipend of Rs. 1,800 (\$US 26.44) per month (increased to Rs. 2,000 (\$US 29.38) per month in the second year of the project) to health workers in the contact-tracing arms to cover time and transportation costs for outreach. The stipend was worth about 22.5% of their average monthly salaries and was the minimum compensation deemed acceptable by Operation ASHA’s senior leadership.<sup>30</sup> Operation ASHA estimates that its DOTS providers allocate one-third of their time to outreach activities, though the vast majority of these efforts are devoted to tracing members of current patients’ households (a population not targeted by our intervention). This outreach is considered part of health workers’ core job responsibilities and covered by the monthly salary, though they also receive small financial incentives and penalties for a range of activities including treatment initiation and completion.

Table 11 summarizes the results of this exercise by incentive type (Panel A) and outreach type (Panel B). Based on costs incurred during the study, it was less expensive to use financial incentives to identify a patient with TB than it was not to use them. Each positive case of TB identified cost \$US 253 in the conditional treatment arms or \$US 183 in the unconditional arms, relative to \$US 410 in the encouragement arm. This is because while the financial incentives themselves were small relative to other costs—especially of outreach (balanced across the incentive types because of the cross-randomized design)—they were effective in increasing the number of cases detected. Costs per suspect screened are, by definition, lower: \$US 33 using conditional incentives, \$US 36 using unconditional incentives, and \$US 70 without financial incentives.

The cost-effectiveness of peer outreach is even more pronounced. In peer arms, the average cost per detection was \$US 114. Active case finding by health workers was 2.5 to 3.5 times as expensive: \$US 402 per case detected in the identified contact-tracing arm and \$US 302 per case detected in the anonymous contact-tracing arm. Costs per suspect screened were \$US 14 in the peer-outreach arms and \$US 75 and \$US 71 in the identified and anonymous contact-tracing arms, respectively. The differences across treatment arms are driven by the greater number of suspects screened and detected as

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<sup>30</sup>To implement health-worker-led contact tracing for the first time also requires training the health workers. We have omitted this fixed cost from our calculations; including it would make peer outreach relatively more cost-effective.

a result of peer outreach, as indicated in Table 4, and the higher costs of compensating health workers (via stipends) than current patients.

We made every effort to minimize costs in all treatment arms during the study. Yet having completed it, we recognize two areas in which future implementation of these schemes could further reduce costs. The first is to distribute fewer cards to current patients for peer referrals. Ninety percent of current patients in the peer outreach arms distributed five or fewer cards, so we reestimate costs assuming that five cards rather than 10 were printed and distributed to each current patient in the peer referral treatments. The second is to reduce the stipend to health workers. Our data do not offer guidance about the optimal stipend level, but as a benchmark, we consider reducing the stipend to health workers by half, to Rs. 900 (\$US 13.22) per month. Table A16 presents estimates for this alternate scenario, which has the biggest effect on the comparison between peer outreach and contact tracing by health workers. While the differences between peer outreach and contact tracing are smaller in this hypothetical than the realized costs in our study, they still clearly indicate the cost advantage of using peers for active case finding: costs would fall to \$US 71 for each case detected through peer outreach, compared to \$US 210 for identified contact tracing and \$US 158 for anonymous contact tracing. In fact, assuming the same detection rates as in the current study and distributing the original 10 cards per current patient, peer outreach remains more cost-effective than case finding by health workers for any stipend above Rs. 560 (\$US 8.62) per month, 31% of the actual stipend paid to health workers in the study.

Few estimates of the cost of outreach are available in the literature. A study from South Africa estimates the cost of identifying a TB patient among a high-prevalence sample (of HIV patients, where co-infection increases patients' risk but decreases the average cost of detection) to be \$US 381 (Kranzer et al., 2012). Although incentivized peer outreach should not replace other outreach strategies, it is clearly an effective complement with the potential to reach marginalized patients.

## 5 Conclusion

Underdetection of tuberculosis has serious health consequences for infected individuals, their families, and others exposed to the disease. Despite the availability of free treatment throughout India, an estimated one million people with TB have not been tested and are not receiving the necessary treatment. The value of private information may be especially high in this context: the public health system and not-for-profit providers working under its auspices are overwhelmed and unable to mount intensive contact-tracing efforts. Existing outreach strategies are insufficient to overcome informational barriers that prevent some people with symptoms from seeking treatment. In contrast, people who are currently undergoing treatment for TB have relatively lower time costs to identify and reach others with symptoms, and they may have particularly relevant information about the benefits of treatment. Despite this, peer referrals are virtually unheard of, partly due to the stigma associated with TB.

The results of our field experiment in India demonstrate that, just as referrals are valuable for leveraging private information to identify well-qualified employees, they are highly effective for outreach to TB symptomatics. Encouragement and, especially, financial incentives induce current patients to refer others in need of testing, which results in the testing of new symptomatics and the detection of new TB cases. Peers are particularly effective in outreach. Our experimental design allowed us to discover that peer referrals are effective not only because current patients have—and can be induced to reveal—useful information about members of their social network who need screening for TB, but also because of the direct role they play in outreach to these contacts. Among peer referrers, incentives increased the number of prospective patients who were screened without affecting the number of cards distributed, suggesting that financial incentives increased the quality of information conveyed or outreach target selected.

Through analysis of a novel margin of referrals, we saw that peer outreach was more effective than health worker outreach. Outreach by current patients resulted in an average of one new suspect screened for every eight current patients, and one new symptomatic tested for every 11 current patients. These outcomes were more than two

times larger than when outreach was conducted by health workers. Incentives strongly complemented peer outreach: on average, incentivized peer outreach resulted in one new suspect screened for every 5.6 current patients. Because of the effectiveness of small financial incentives and the comparatively lower cost of time for current patients than health workers, incentivized peer outreach in TB screening is highly cost-effective when compared to the international standard, contact tracing. We estimate that peer outreach results in the screening of new symptomatics at 20% of the cost of outreach by health workers, and it identifies new TB cases at 28%–38% of that cost.

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# Figures

Figure 1: Sample referral card (English translation)

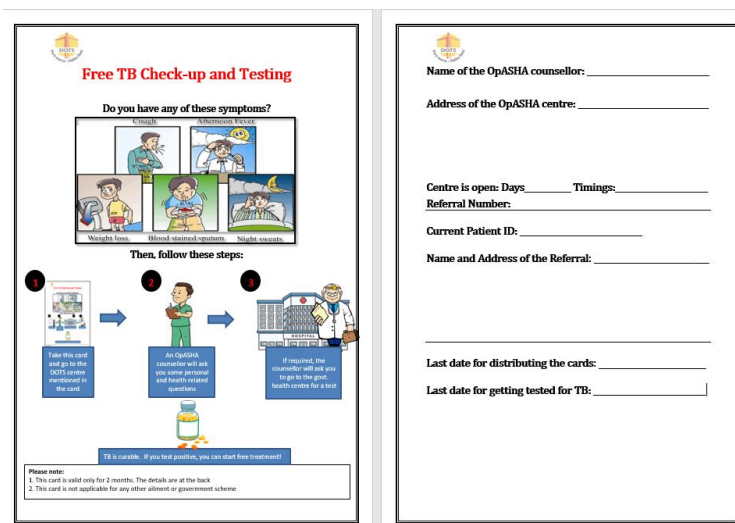


Figure 2: Experimental design

		Pure control	Outreach type		
		10 clinics 189 patients	Contact tracing (anonymous)	Contact tracing (identified)	Peer outreach
Incentive type	Encouragement	11 clinics 331 patients	13 clinics 300 patients	14 clinics 361 patients	
	Rs. 150 unconditional	11 clinics 336 patients	13 clinics 436 patients	14 clinics 252 patients	
	Rs. 100 + Rs. 150 if TB positive	10 clinics 259 patients	13 clinics 352 patients	13 clinics 360 patients	

# Tables

Table 1: Summary statistics, by incentive type

	(1)	(2)	(3)	(4)	(5)
	Control	Conditional incentive	Encouragement	Unconditional incentive	Overall
Female respondent	0.413 (0.036)	0.390 (0.016)	0.403 (0.016)	0.406 (0.015)	0.401 (0.009)
Hindu respondent	0.831 (0.027)	0.826 (0.012)	0.812 (0.012)	0.823 (0.012)	0.821 (0.007)
Muslim respondent	0.153 (0.026)	0.128 (0.011)	0.153 (0.011)	0.150 (0.011)	0.145 (0.006)
Respondent has some literacy	0.688 (0.034)	0.668 (0.015)	0.703 (0.015)	0.700 (0.014)	0.690 (0.008)
Respondent has secondary education	0.307 (0.034)	0.294 (0.015)	0.288 (0.014)	0.311 (0.014)	0.298 (0.008)
Asset index	0.289 (0.125)	0.000 (0.055)	0.055 (0.060)	-0.081 (0.053)	0.008 (0.031)
Respondent has bank account	0.640 (0.035)	0.588 (0.016)	0.633 (0.015)	0.613 (0.015)	0.613 (0.009)
Number of social contacts	3.087 (0.425)	2.650 (0.208)	2.249 (0.139)	2.654 (0.204)	2.554 (0.105)
Previously treated for TB	0.159 (0.027)	0.173 (0.012)	0.185 (0.012)	0.166 (0.012)	0.174 (0.007)
Tested within 1 month of symptoms	0.878 (0.024)	0.852 (0.011)	0.794 (0.013)	0.816 (0.012)	0.824 (0.007)
Observations	189	971	992	1024	3176

Table 2: Summary statistics, by outreach type

	(1)	(2)	(3)	(4)	(5)
	Control	Peer outreach	Anonymous contact tracing	Identified contact tracing	Overall
Female respondent	0.413 (0.036)	0.414 (0.016)	0.371 (0.016)	0.412 (0.015)	0.401 (0.009)
Hindu respondent	0.831 (0.027)	0.830 (0.012)	0.810 (0.013)	0.821 (0.012)	0.821 (0.007)
Muslim respondent	0.153 (0.026)	0.142 (0.011)	0.160 (0.012)	0.132 (0.010)	0.145 (0.006)
Respondent has some literacy	0.688 (0.034)	0.674 (0.015)	0.681 (0.015)	0.713 (0.014)	0.690 (0.008)
Respondent has secondary education	0.307 (0.034)	0.284 (0.014)	0.285 (0.015)	0.321 (0.014)	0.298 (0.008)
Asset index	0.289 (0.125)	-0.088 (0.056)	-0.034 (0.057)	0.080 (0.055)	0.008 (0.031)
Respondent has bank account	0.640 (0.035)	0.595 (0.016)	0.630 (0.016)	0.611 (0.015)	0.613 (0.009)
Number of social contacts	3.087 (0.425)	2.575 (0.191)	2.432 (0.182)	2.545 (0.186)	2.554 (0.105)
Previously treated for TB	0.159 (0.027)	0.163 (0.012)	0.170 (0.012)	0.189 (0.012)	0.174 (0.007)
Tested within 1 month of symptoms	0.878 (0.024)	0.811 (0.013)	0.825 (0.013)	0.825 (0.012)	0.824 (0.007)
Observations	189	973	926	1088	3176

Table 3: Effects of financial incentives on TB detection

	(1)	(2)	(3)	(4)
	Patients screened	Tests recommended	Patients tested	Positive tests
Encouragement	0.044** (0.018) [0.090]	0.030** (0.015) [0.194]	0.024* (0.014) [0.247]	0.003 (0.003) [0.410]
Unconditional incentive	0.096*** (0.025) [0.002]	0.080*** (0.020) [0.001]	0.057*** (0.015) [0.002]	0.013** (0.006) [0.107]
Conditional incentive	0.102** (0.031) [0.013]	0.078** (0.028) [0.044]	0.058** (0.021) [0.053]	0.005 (0.006) [0.410]
Observations	3176	3176	3176	3176
R-squared	0.01	0.01	0.02	0.02
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-value: treatments jointly 0	0.00	0.00	0.00	0.07
Point estimate of differences between treatment arms:				
Encouragement-Unconditional	0.052 (0.024)	0.050 (0.020)	0.032 (0.014)	0.011 (0.006)
Encouragement-Conditional	0.058 (0.035)	0.048 (0.031)	0.034 (0.022)	0.003 (0.007)
Conditional-Unconditional	0.007 (0.033)	-0.002 (0.028)	0.001 (0.019)	-0.008 (0.008)

“Patients screened” (column 1) is the number of new suspects who meet with an Operation ASHA counselor after receiving a referral card. “Tests recommended” (column 2) is the number of new suspects who are observed by Operation ASHA counselors to have symptoms of active TB and are therefore told to report to a government center for testing. “Patients tested” is the number of new suspects who obtain a test at a government testing center. “Positive tests” is the number of new suspects who have a positive sputum test result. The unit of observation is the current patient. Linear models estimated by OLS, including city fixed effects. Standard errors are clustered at the clinic level and reported in parentheses. The sample includes all current patients. The omitted category is patients in pure control clinics. \*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.001$ . False discovery rate corrected q-values (based on Benjamini and Hochberg (1995)) in square brackets in the top panel; standard errors for point estimates of differences between treatment arms in parentheses in the bottom panel.

Table 4: Effects of outreach type on TB detection

	(1) Patients screened	(2) Tests recommended	(3) Patients tested	(4) Positive tests
Peer outreach	0.124*** (0.030) [0.001]	0.092*** (0.025) [0.011]	0.058** (0.018) [0.003]	0.010** (0.004) [0.061]
Identified contact tracing	0.054** (0.016) [0.004]	0.042** (0.014) [0.021]	0.035** (0.013) [0.011]	0.004 (0.004) [0.302]
Anonymous contact tracing	0.056** (0.020) [0.053]	0.049** (0.019) [0.053]	0.043** (0.018) [0.061]	0.005 (0.005) [0.302]
Observations	3176	3176	3176	3176
R-squared	0.02	0.01	0.02	0.02
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-value: treatments jointly 0	0.00	0.00	0.01	0.09
Point estimate of differences between treatment arms:				
Peer-Identified	0.070 (0.027)	0.050 (0.023)	0.024 (0.014)	0.006 (0.006)
Peer-Anonymous	0.068 (0.031)	0.043 (0.027)	0.015 (0.019)	0.005 (0.006)
Anonymous-Identified	0.002 (0.018)	0.007 (0.017)	0.008 (0.014)	0.001 (0.007)

“Patients screened” (column 1) is the number of new suspects who meet with an Operation ASHA counselor after receiving a referral card. “Tests recommended” (column 2) is the number of new suspects who are observed by Operation ASHA counselors to have symptoms of active TB and are therefore told to report to a government center for testing. “Patients tested” is the number of new suspects who obtain a test at a government testing center. “Positive tests” is the number of new suspects who have a positive sputum test result. The unit of observation is the current patient. Linear models estimated by OLS, including city fixed effects. Standard errors are clustered at the clinic level and reported in parentheses. The sample includes all current patients. The omitted category is patients in pure control clinics. \*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.001$ . False discovery rate corrected q-values (based on Benjamini and Hochberg (1995)) in square brackets in the top panel; standard errors for point estimates of differences between treatment arms in parentheses in the bottom panel.



Table 5: Complementarities between peer outreach and financial incentives on TB detection

	(1) Patients screened	(2) Tests recommended	(3) Patients tested	(4) Positive tests
Peer outreach, no financial incentive	0.036 (0.023) [0.623]	0.023 (0.020) [0.623]	0.017 (0.016) [0.623]	-0.001 (0.003) [0.846]
Contact tracing, no financial incentive	0.048** (0.020) [0.169]	0.034** (0.017) [0.381]	0.028* (0.016) [0.562]	0.005 (0.004) [0.623]
Peer outreach, financial incentive	0.178*** (0.044) [0.001]	0.135*** (0.038) [0.008]	0.084** (0.026) [0.017]	0.017** (0.007) [0.170]
Contact tracing, financial incentive	0.063*** (0.017) [0.006]	0.054*** (0.016) [0.011]	0.046** (0.015) [0.030]	0.006 (0.004) [0.623]
Observations	3176	3176	3176	3176
R-squared	0.02	0.02	0.02	0.02
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-value: Peer encouragement = Peer incentives	0.01	0.02	0.04	0.05
P-value: Contact tracing encouragement = Contact tracing incentives	0.40	0.23	0.23	0.85
P-value: Contact tracing encouragement = Peer encouragement	0.64	0.61	0.50	0.30
P-value: Contact tracing incentives = Peer incentives	0.01	0.03	0.10	0.14

“Patients screened” (column 1) is the number of new suspects who meet with an Operation ASHA counselor after receiving a referral card. “Tests recommended” (column 2) is the number of new suspects who are observed by Operation ASHA counselors to have symptoms of active TB and are therefore told to report to a government center for testing. “Patients tested” is the number of new suspects who obtain a test at a government testing center. “Positive tests” is the number of new suspects who have a positive sputum test result. Contact tracing includes both identified and anonymous contact tracing. Financial incentives includes both conditional and unconditional incentives. The unit of observation is the current patient. Linear models estimated by OLS, including city fixed effects. Standard errors are clustered at the clinic level and reported in parentheses. The sample includes all current patients. The omitted category is patients in pure control clinics.

\*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.001$ . False discovery rate corrected q-values (based on Benjamini and Hochberg (1995)) in square brackets in the top panel; standard errors for point estimates of differences between treatment arms in parentheses in the bottom panel.

Table 6: Heterogeneous effects of financial incentives on the number of referrals

	(1)	(2)	(3)	(4)	(5)
Outcome:	<b>Patients screened</b>				
Heterogeneity by:	Asset ownership	Social contacts	No treatment delay	Intensive phase	Female
Above median	-0.001 (0.006)	0.023* (0.012)	-0.007 (0.013)	0.004 (0.007)	-0.001 (0.014)
Encouragement	0.060** (0.021)	0.064** (0.023)	0.014 (0.024)	0.046** (0.022)	0.040** (0.018)
Financial incentive	0.102*** (0.024)	0.095*** (0.022)	0.104*** (0.030)	0.097*** (0.027)	0.102*** (0.024)
Above median * Encouragement	-0.033 (0.020)	-0.034 (0.023)	0.037** (0.017)	-0.005 (0.019)	0.012 (0.026)
Above median * Financial incentive	-0.012 (0.023)	0.005 (0.019)	-0.006 (0.037)	0.004 (0.028)	-0.015 (0.035)
Observations	3174	3046	3167	3176	3047
R-squared	0.01	0.01	0.01	0.01	0.01

Linear models estimated by OLS, including city fixed effects. Standard errors are clustered at the clinic level. The sample includes all current patients. The omitted category is patients in pure control clinics. “Above median” is an indicator set to 1 for patients with above-median asset scores (column 1); above-median social contacts (column 2); who did not delay seeking treatment for their own TB symptoms (column 3); in the first two months of treatment (column 5); and who are female (column 6). \*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.001$ .

Table 7: Heterogeneous effects of outreach strategies on the number of referrals

	(1)	(2)	(3)	(4)	(5)
Outcome:	<b>Patients screened</b>				
Heterogeneity by:	Asset ownership	Social contacts	No treatment delay	Intensive phase	Female
Above median	-0.002 (0.005)	0.021* (0.012)	-0.007 (0.013)	0.005 (0.006)	0.001 (0.013)
Peer outreach	0.125*** (0.029)	0.117*** (0.030)	0.078** (0.039)	0.120** (0.038)	0.126*** (0.034)
Contact tracing	0.062** (0.019)	0.064*** (0.018)	0.060** (0.027)	0.058** (0.017)	0.055*** (0.016)
Above median * Peer	-0.013 (0.042)	0.012 (0.037)	0.057 (0.054)	0.010 (0.049)	-0.005 (0.066)
Above median * Contact tracing	-0.015 (0.015)	-0.015 (0.016)	-0.007 (0.023)	-0.008 (0.015)	-0.012 (0.021)
Observations	3174	3046	3167	3176	3047
R-squared	0.02	0.02	0.02	0.02	0.01

Linear models estimated by OLS, including city fixed effects. Standard errors are clustered at the clinic level. The sample includes all current patients. The omitted category is patients in pure control clinics. “Above median” is an indicator set to 1 for patients with above-median asset scores (column 1); above-median social contacts (column 2); who did not delay seeking treatment for their own TB symptoms (column 3); in the first two months of treatment (column 4); and who are female (column 5). \*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.001$ .

Table 8: Comparison of current patients and new symptomatics

	(1)	(2)	(3)	(4)
	Current	New	Difference	P-value
	patients	symptomatics		(1) = (2)
Female respondent	0.401 (0.009)	0.366 (0.037)	0.035 (0.038)	0.368
Respondent has some literacy	0.690 (0.008)	0.448 (0.038)	0.243 (0.036)	0.000
Asset Index	0.031 (0.051)	-0.144 (0.124)	0.175 (0.220)	0.425
Number of social contacts	2.554 (0.105)	1.413 (0.266)	1.141 (0.445)	0.010
Observations	3176	172	3348	

Table 9: Effects of financial incentives on characteristics of referred patients

	(1)	(2)	(3)	(4)
	Female	Some literacy	Asset index	Social contacts
Financial incentive	-0.007 (0.087)	0.022 (0.85)	-0.676** (0.305)	-0.208 (0.553)
Observations	172	172	172	172
R-squared	0.12	0.15	0.18	0.07
Mean of dep. var. in encouragement group	0.37	0.49	0.21	1.37

Linear models estimated by OLS, including city fixed effects. Standard errors are clustered at the clinic level. The sample includes new patients who were screened because of a referral. The omitted category is new patients referred under the encouragement condition.

Table 10: Effects of outreach type on characteristics of referred patients

	(1)	(2)	(3)	(4)
	Female	Some literacy	Asset index	Social contacts
Peer outreach	0.096 (0.097)	-0.174* (0.102)	-0.269 (0.370)	-1.772** (0.873)
Observations	172	172	172	172
R-squared	0.13	0.17	0.16	0.11
Mean of dep. var. in contact-tracing groups	0.34	0.55	0.04	2.12

Linear models estimated by OLS, including city fixed effects. Standard errors are clustered at the clinic level. The sample includes new patients who were screened because of a referral. The omitted category is new patients referred via contact tracing.

Table 11: Cost of detection

Panel A: Costs by Incentive Type						
	Encouragement		Conditional		Unconditional	
	Cost per current patient	Total cost	Cost per current patient	Total cost	Cost per current patient	Total cost
Incentive payments	n/a	n/a	11	10500	12	12600
Referral card printing	30	29880	31	29840	21	21496
Training for current patients	14	13542	14	13542	13	13542
Payments to health workers	144	143200	147	143200	140	143200
Total cost		186622		197082		190838
Cost per symptomatic screened		4552		2119		2327
Cost per TB case detected		26660		16423		11927
Cost per symptomatic screened (\$US)		70		33		36
Cost per TB case detected (\$US)		410		253		183

Panel B: Costs by Outreach Type						
	Peer		Identified Contact Tracing		Anonymous Contact Tracing	
	Cost per current patient	Total cost	Cost per current patient	Total cost	Cost per current patient	Total cost
Incentive payments	13	12400	5	5300	6	5400
Referral card printing	80	77840	1	1408	2	1968
Training for current patients	14	13542	12	13542	15	13542
Payments to health workers	n/a	n/a	197	214800	232	214800
Total cost		103782		235050		235710
Cost per symptomatic screened		887		4897		4622
Cost per TB case detected		7413		26117		19642
Cost per symptomatic screened (\$US)		14		75		71
Cost per TB case detected (\$US)		114		402		302

Panel A: Estimated number of detections correspond to outcome variables in Table 3, columns 1 and 7.

Panel B: Estimated number of detections correspond to outcome variables in Table 4, columns 1 and 7.

All costs in Indian rupees, except where indicated. Exchange rate is Rs. 65 to \$US 1.

## Appendix A (For online publication only)

Table A1: Testing whether attrition was associated with experimental condition

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	Y = 1 if the patient was surveyed, 0 otherwise	
	(1)	(2)
Encouragement	-0.023 (0.030)	
Unconditional incentive	-0.005 (0.029)	
Conditional incentive	-0.025 (0.030)	
Peer outreach		-0.016 (0.031)
Identified contact tracing		-0.038 (0.029)
Anonymous contact tracing		0.005 (0.028)
Observations	4,203	4,203
R-squared	0.029	0.030

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Linear models estimated by OLS, including city fixed effects. The dependent variable is equal to 1 if the current patient was surveyed, and 0 otherwise. Standard errors are clustered at the clinic level. The sample includes all baseline patients. The omitted category is patients in pure control clinics.

Table A2: P-values for pairwise omnibus balance tests

	Control	Unconditional incentive	Conditional incentive
Encouragement	0.108	0.390	0.001
Unconditional incentive	0.237		0.120
Conditional incentive	0.512		

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	Control	Identified contact tracing	Anonymous contact tracing
Peer outreach	0.386	0.025	0.613
Identified contact tracing	0.167		0.183
Anonymous contact tracing	0.475		

Each cell reports the p-value of the F-test that the coefficients on the variables listed in Table 1 are jointly zero, in an LPM specification where the sample includes respondents in the respective pairs of treatment conditions, and the outcome is a binary for assignment to one of the treatment conditions instead of the other. Each specification includes city fixed effects.



Table A3: Number of referrals named by current patients

<b>Incentive type</b>				
N. names given	Overall	Encouragement	Unconditional	Conditional
None	2881 (90.71%)	906 (91.33%)	910 (88.87%)	881 (90.73%)
1 name	186 (5.86%)	54 (5.44%)	70 (6.84%)	57 (5.87%)
2 names	62 (1.95%)	23 (2.32%)	23 (2.25%)	16 (1.65%)
3 names	23 (0.72%)	6 (0.6%)	9 (0.88%)	8 (0.82%)
4 names	11 (0.35%)	2 (0.2%)	5 (0.49%)	4 (0.41%)
5 names	9 (0.28%)	0 (0%)	4 (0.39%)	5 (0.51%)
6 names	4 (0.13%)	1 (0.1%)	3 (0.29%)	0 (0%)

<b>Outreach type</b>			
N. names given	Peer	Identified CT	Anonymous CT
None	910 (93.53%)	981 (90.17)	806 (87.04)
1 name	49 (5.04%)	71 (6.53)	61 (6.59)
2 names	10 (1.03%)	17 (1.56)	35 (3.78)
3 names	2 (0.21%)	13 (1.19)	8 (0.86)
4 names	0 (0%)	3 (0.28)	8 (0.86)
5 names	2 (0.21%)	2 (0.18)	5 (0.54)
6 names	0 (0%)	1 (0.09)	3 (0.32)

Distribution of current patients according to the number of names given to the enumerators, overall and by experimental condition.

Table A4: Effects of financial incentives on TB screening, testing, and detection (including baseline covariates)

	(1)	(2)	(3)	(4)
	Patients screened	Tests recommended	Patients tested	Positive tests
Encouragement	0.043** (0.017)	0.031** (0.014)	0.026* (0.014)	0.003 (0.003)
Unconditional incentive	0.093*** (0.025)	0.078*** (0.020)	0.055*** (0.014)	0.009** (0.004)
Conditional incentive	0.091** (0.029)	0.073** (0.024)	0.056** (0.020)	0.004 (0.004)
Observations	3031	3031	3031	3031
R-squared	0.02	0.02	0.02	0.02
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-value: treatments jointly 0	0.00	0.00	0.00	0.10
Point estimates of differences between treatment arms:				
Encouragement-Unconditional	0.049 (0.024)	0.046 (0.019)	0.029 (0.013)	0.007 (0.005)
Encouragement-Conditional	0.048 (0.030)	0.042 (0.026)	0.030 (0.019)	0.001 (0.005)
Conditional-Unconditional	-0.001 (0.031)	-0.005 (0.025)	0.001 (0.016)	-0.005 (0.005)

Linear models estimated by OLS, including city fixed effects. Standard errors are clustered at the clinic level. The sample includes all current patients. The omitted category is patients in pure control clinics. Includes all covariates from Table 1.

Table A5: Effects of outreach strategies on TB screening, testing, and detection (including baseline covariates)

	(1)	(2)	(3)	(4)
	Patients screened	Tests recommended	Patients tested	Positive tests
Peer outreach	0.115*** (0.030)	0.090*** (0.024)	0.058*** (0.017)	0.008** (0.003)
Identified contact tracing	0.049** (0.016)	0.037** (0.013)	0.031** (0.013)	0.001 (0.003)
Anonymous contact tracing	0.059** (0.020)	0.052** (0.018)	0.046** (0.017)	0.006 (0.004)
Observations	3031	3031	3031	3031
R-squared	0.02	0.02	0.02	0.02
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-value: treatments jointly 0	0.00	0.00	0.00	0.04
Point estimates of differences between treatment arms:				
Peer-Identified	0.067 (0.027)	0.053 (0.022)	0.027 (0.013)	0.007 (0.004)
Peer-Anonymous	0.057 (0.030)	0.038 (0.026)	0.012 (0.018)	0.002 (0.005)
Anonymous-Identified	0.010 (0.017)	0.014 (0.015)	0.016 (0.013)	0.005 (0.006)

Linear models estimated by OLS, including city fixed effects. Standard errors are clustered at the clinic level. The sample includes all current patients. The omitted category is patients in pure control clinics. Includes all covariates from Table 1.

Table A6: Effects of financial incentives on TB screening, testing, and detection (covariates selected by double lasso)

	(1)	(2)	(3)	(4)
	Patients screened	Tests recommended	Patients tested	Positive tests
Encouragement	0.034* (0.018)	0.022 (0.016)	0.021 (0.014)	0.002 (0.004)
Unconditional incentive	0.091*** (0.023)	0.077*** (0.018)	0.056*** (0.014)	0.012** (0.005)
Conditional incentive	0.095*** (0.027)	0.072** (0.023)	0.057** (0.018)	0.004 (0.005)
Observations	3171	3171	3171	3176
R-squared	0.04	0.04	0.04	0.04
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-value: treatments jointly 0	0.00	0.00	0.00	0.04
Point estimates of differences between treatment arms:				
Encouragement-Unconditional	0.058 (0.025)	0.055 (0.021)	0.035 (0.014)	0.010 (0.006)
Encouragement-Conditional	0.061 (0.032)	0.050 (0.029)	0.036 (0.021)	0.002 (0.006)
Conditional-Unconditional	0.004 (0.031)	-0.005 (0.026)	0.001 (0.017)	-0.008 (0.008)

Linear models estimated by OLS, including city fixed effects. Standard errors are clustered at the clinic level. The sample includes all current patients. The omitted category is patients in pure control clinics. Covariates selected by the double-lasso procedure described by Belloni et al. (2014) and implemented in Stata 15 using the command `pdslasso`, a user-written command provided by Ahrens et al. (2018). Summary statistics for corresponding covariates provided in Appendix Table B1.

Table A7: Effects of outreach strategies on TB screening, testing, and detection (covariates selected by double lasso)

	(1)	(2)	(3)	(4)
	Patients screened	Tests recommended	Patients tested	Positive tests
Peer outreach	0.119*** (0.028)	0.089*** (0.023)	0.059*** (0.016)	0.010** (0.004)
Identified contact tracing	0.050** (0.016)	0.038** (0.014)	0.034** (0.013)	0.004 (0.004)
Anonymous contact tracing	0.053** (0.021)	0.047** (0.019)	0.043** (0.018)	0.005 (0.005)
Observations	3171	3171	3171	3176
R-squared	0.03	0.03	0.03	0.02
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-value: treatments jointly 0	0.00	0.00	0.00	0.08
Point estimates of differences between treatment arms:				
Peer-Identified	0.070 (0.026)	0.050 (0.022)	0.025 (0.014)	0.006 (0.006)
Peer-Anonymous	0.067 (0.030)	0.042 (0.027)	0.016 (0.019)	0.005 (0.006)
Anonymous-Identified	0.003 (0.018)	0.008 (0.017)	0.009 (0.015)	0.001 (0.007)

Linear models estimated by OLS, including city fixed effects. Standard errors are clustered at the clinic level. The sample includes all current patients. The omitted category is patients in pure control clinics. Covariates selected by the double-lasso procedure described by Belloni et al. (2014) and implemented in Stata 15 using the command `pdslasso`, a user-written command provided by Ahrens et al. (2018). Summary statistics for corresponding covariates provided in Appendix Table B2.

Table A8: Effects of financial incentives on TB detection (**p-values** obtained by Wild bootstrap)

	(1)	(2)	(3)	(4)
	Patients screened	Tests recommended	Patients tested	Positive tests
Encouragement	0.044** [0.019]	0.030** [0.046]	0.024* [0.077]	0.003 [0.365]
Unconditional incentive	0.096*** [0.001]	0.080*** [0.002]	0.057*** [0.002]	0.013** [0.024]
Conditional incentive	0.102*** [0.002]	0.078*** [0.008]	0.058** [0.010]	0.005 [0.405]
Observations	3176	3176	3176	3176
R-squared	0.01	0.01	0.02	0.02
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-value: treatments jointly 0	0.00	0.00	0.00	0.07
Point estimate of differences between treatment arms:				
Encouragement-Unconditional	0.052** [0.030]	0.050** [0.014]	0.032** [0.015]	0.011* [0.100]
Encouragement-Conditional	0.058 [0.103]	0.048 [0.130]	0.034 [0.155]	0.003 [0.733]
Conditional-Unconditional	0.007 [0.863]	-0.002 [0.952]	0.001 [0.953]	-0.008 [0.419]

“Patients screened” (column 1) is the number of new suspects who meet with an Operation ASHA counselor after receiving a referral card. “Tests recommended” (column 2) is the number of new suspects who are observed by Operation ASHA counselors to have symptoms of active TB and are therefore told to report to a government center for testing. “Patients tested” is the number of new suspects who obtain a test at a government testing center. “Positive tests” is the number of new suspects who have a positive sputum test result. The unit of observation is the current patient. Linear models estimated by OLS, including city fixed effects. P-values are obtained by Wild bootstrap clustering at the clinic level and reported in square brackets. The sample includes all current patients. The omitted category is patients in pure control clinics. \* p<0.10, \*\* p<0.05, \*\*\* p<0.001.

Table A9: Effects of outreach type on TB detection (**p-values** obtained by Wild bootstrap)

	(1)	(2)	(3)	(4)
	Patients	Tests	Patients	Positive
	screened	recommended	tested	tests
Peer outreach	0.124*** [0.001]	0.092*** [0.001]	0.058*** [0.003]	0.010** [0.016]
Identified contact tracing	0.054*** [0.002]	0.042*** [0.003]	0.035*** [0.006]	0.004 [0.308]
Anonymous contact tracing	0.056*** [0.005]	0.049*** [0.007]	0.043** [0.011]	0.005 [0.308]
Observations	3176	3176	3176	3176
R-squared	0.02	0.01	0.02	0.02
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-value: treatments jointly 0	0.00	0.00	0.01	0.09
Point estimate of differences between treatment arms:				
Peer-Identified	0.070** [0.021]	0.050** [0.042]	0.024 [0.108]	0.006 [0.357]
Peer-Anonymous	0.068** [0.036]	0.043 [0.126]	0.015 [0.435]	0.005 [0.456]
Anonymous-Identified	0.002 [0.876]	0.007 [0.661]	0.008 [0.561]	0.001 [0.888]

“Patients screened” (column 1) is the number of new suspects who meet with an Operation ASHA counselor after receiving a referral card. “Tests recommended” (column 2) is the number of new suspects who are observed by Operation ASHA counselors to have symptoms of active TB and are therefore told to report to a government center for testing. “Patients tested” is the number of new suspects who obtain a test at a government testing center. “Positive tests” is the number of new suspects who have a positive sputum test result. The unit of observation is the current patient. Linear models estimated by OLS, including city fixed effects. p-values are obtained by Wild bootstrap clustering at the clinic level and reported in square brackets. The sample includes all current patients. The omitted category is patients in pure control clinics. \* p<0.10, \*\* p<0.05, \*\*\* p<0.001.

Table A10: Effects of financial incentives on TB screening, testing, and detection (clinic-level specification)

	(1)	(2)	(3)	(4)
	Patients screened	Tests recommended	Patients tested	Positive tests
Encouragement	0.056 (0.072)	0.039 (0.062)	0.030 (0.044)	0.004 (0.008)
Unconditional incentive	0.112 (0.072)	0.096 (0.063)	0.061 (0.044)	0.013 (0.008)
Conditional incentive	0.139* (0.073)	0.110* (0.063)	0.084* (0.045)	0.008 (0.008)
Observations	122	122	122	122
R-squared	0.13	0.14	0.17	0.34
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-value: treatments jointly 0	0.17	0.21	0.17	0.28
Point estimates of differences between treatment arms:				
Encouragement-Unconditional	0.056 (0.046)	0.056 (0.040)	0.031 (0.028)	0.009 (0.005)
Encouragement-Conditional	0.083 (0.047)	0.071 (0.040)	0.054 (0.029)	0.004 (0.005)
Conditional-Unconditional	0.026 (0.047)	0.015 (0.040)	0.023 (0.029)	-0.005 (0.005)

Linear models estimated by OLS, including city fixed effects. The unit of analysis is the clinic. Outcomes are averages of current patient-level outcomes within clinic. The omitted category is pure control clinics. Regressions include the clinic-level baseline number of patients as control. Standard errors are in parentheses.



Table A11: Effects of outreach strategies on TB screening, testing, and detection (clinic-level specification)

	(1)	(2)	(3)	(4)
	Patients screened	Tests recommended	Patients tested	Positive tests
Peer outreach	0.151** (0.071)	0.116* (0.062)	0.073* (0.044)	0.012 (0.008)
Identified contact tracing	0.063 (0.072)	0.052 (0.063)	0.040 (0.045)	0.004 (0.008)
Anonymous contact tracing	0.069 (0.073)	0.061 (0.064)	0.052 (0.046)	0.007 (0.008)
Observations	122	122	122	122
R-squared	0.14	0.13	0.15	0.34
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-value: treatments jointly 0	0.08	0.19	0.35	0.29
Point estimates of differences between treatment arms:				
Peer-Identified	0.088 (0.045)	0.063 (0.039)	0.033 (0.028)	0.008 (0.005)
Peer-Anonymous	0.082 (0.048)	0.055 (0.042)	0.021 (0.030)	0.006 (0.005)
Anonymous-Identified	0.006 (0.048)	0.008 (0.042)	0.012 (0.030)	0.002 (0.005)

Linear models estimated by OLS, including city fixed effects. The unit of analysis is the clinic. Outcomes are averages of current patient-level outcomes within clinic. The omitted category is pure control clinics. Regressions include the clinic-level baseline number of patients as control. Standard errors are in parentheses.

Table A12: Complementarities between peer outreach and financial incentives on TB screening, testing, and detection (clinic-level specification)

	(1)	(2)	(3)	(4)
	Patients	Tests	Patients	Positive
	screened	recommended	tested	tests
Peer outreach, no financial incentive	0.040	0.024	0.018	0.001
	(0.081)	(0.071)	(0.051)	(0.009)
Contact tracing, no financial incentive	0.060	0.045	0.035	0.006
	(0.074)	(0.065)	(0.047)	(0.008)
Peer outreach, financial incentive	0.210**	0.164**	0.103**	0.018**
	(0.072)	(0.063)	(0.046)	(0.008)
Contact tracing, financial incentive	0.069	0.063	0.052	0.005
	(0.069)	(0.061)	(0.044)	(0.008)
Observations	122	122	122	122
R-squared	0.19	0.18	0.19	0.38
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-values: Peer encouragement = Peer incentives	0.01	0.01	0.04	0.02
Contact tracing encouragement = Contact tracing incentives	0.84	0.68	0.59	0.92
Contact tracing encouragement = Peer encouragement	0.77	0.72	0.68	0.51
Contact tracing incentives = Peer incentives	0.00	0.02	0.09	0.02

Linear models estimated by OLS, including city fixed effects. The unit of analysis is the clinic. Outcomes are averages of current patient-level outcomes within clinic. The omitted category is pure control clinics. Regressions include the clinic-level baseline number of patients as control. Standard errors are in parentheses.

Table A13: New patients enrolled at Operation ASHA clinics (clinic-level regressions)

	(1)	(2)	(3)	(4)
	new patients enrolled / baseline patients			
Any treatment	0.124			
	(0.283)			
Encouragement		0.139		
		(0.305)		
Financial incentive		0.116		
		(0.291)		
Peer outreach			0.303	
			(0.298)	
Contact tracing			0.006	
			(0.288)	
Peer outreach, no financial incentive				0.296
				(0.352)
Contact tracing, no financial incentive				0.035
				(0.322)
Peer outreach, financial incentive				0.307
				(0.316)
Contact tracing, financial incentive				-0.010
				(0.300)
Observations	122	122	122	122
R-squared	0.10	0.10	0.13	0.13
Mean of dep. var. in control group	0.44	0.44	0.44	0.44

Linear models estimated by OLS, including city fixed effects.

The unit of analysis is the clinic. The outcome variable is the number of new patients enrolled at Operation ASHA clinics during the study period divided by the baseline number of patients at the start of the study period.

The omitted category is pure control clinics.

Regressions include the clinic-level baseline number of patients as control.

Standard errors are in parentheses.

Table A14: Effects of financial incentives on the probability of TB screening, testing, and detection

Indicator:	(1) Any patients screened	(2) Any tests recommended	(3) Any patients tested	(4) Any positive tests
Encouragement	0.038*** (0.010)	0.029*** (0.008)	0.022** (0.009)	0.004 (0.003)
Unconditional incentive	0.061*** (0.013)	0.054*** (0.012)	0.041*** (0.010)	0.011** (0.005)
Conditional incentive	0.049*** (0.013)	0.042*** (0.012)	0.034** (0.012)	0.004 (0.004)
Observations	3176	3176	3176	3176
R-squared	0.02	0.01	0.02	0.02
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-value: treatments jointly 0	0.00	0.00	0.00	0.05
Point estimates of differences between treatment arms:				
Encouragement-Unconditional	0.023 (0.013)	0.025 (0.012)	0.019 (0.009)	0.007 (0.005)
Encouragement-Conditional	0.012 (0.013)	0.013 (0.012)	0.012 (0.010)	0.000 (0.005)
Conditional-Unconditional	-0.012 (0.015)	-0.012 (0.014)	-0.007 (0.011)	-0.007 (0.006)

Linear probability models estimated by OLS, including city fixed effects. Standard errors are clustered at the clinic level. The sample includes all current patients. The omitted category is patients in pure control clinics. \*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.001$ .

Table A15: Effects of outreach strategies on the probability of TB screening, testing, and detection

Indicator:	(1) Any patients screened	(2) Any tests recommended	(3) Any patients tested	(4) Any positive tests
Peer outreach	0.065*** (0.012)	0.053*** (0.011)	0.038*** (0.009)	0.009** (0.003)
Identified contact tracing	0.035*** (0.010)	0.027** (0.008)	0.023** (0.009)	0.003 (0.003)
Anonymous contact tracing	0.046*** (0.014)	0.043** (0.013)	0.036** (0.013)	0.007 (0.005)
Observations	3176	3176	3176	3176
R-squared	0.02	0.02	0.02	0.02
Mean of dep. var. in control group	0.00	0.00	0.00	0.00
P-value: treatments jointly 0	0.00	0.00	0.00	0.03
Point estimates of differences between treatment arms:				
Peer-Identified	0.030 (0.013)	0.026 (0.012)	0.015 (0.008)	0.006 (0.004)
Peer-Anonymous	0.019 (0.015)	0.010 (0.014)	0.002 (0.011)	0.003 (0.005)
Anonymous-Identified	0.011 (0.013)	0.015 (0.012)	0.013 (0.011)	0.004 (0.006)

Linear probability models estimated by OLS, including city fixed effects. Standard errors are clustered at the clinic level. The sample includes all current patients. The omitted category is patients in pure control clinics. \*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.001$ .

Table A16: Cost of detection: reduced-cost scenario

Panel A: Costs by Incentive Type						
	Encouragement		Conditional		Unconditional	
	Cost per current patient	Total cost	Cost per current patient	Total cost	Cost per current patient	Total cost
Incentive payments	n/a	n/a	11	10500	12	12600
Referral card printing	16	15440	16	15440	11	11416
Training of current patients	14	13542	14	13542	13	13542
Payments to health workers	69	68400	70	68400	67	68400
Total cost		97382		107882		105958
Cost per symptomatic screened		2375		1160		1292
Cost per TB case detected		13912		8990		6622
Cost per symptomatic screened (\$US)		37		18		20
Cost per TB case detected (\$US)		214		138		102

Panel B: Costs by Outreach Type						
	Peer		Identified Contact Tracing		Anonymous Contact Tracing	
	Cost per current patient	Total cost	Cost per current patient	Total cost	Cost per current patient	Total cost
Incentive payments	13	12400	5	5300	6	5400
Referral card printing	40	38920	1	1408	2	1968
Training of current patients	14	13542	12	13542	15	13542
Payments to health workers	n/a	n/a	94	102600	111	102600
Total cost		64862		122850		123510
Cost per symptomatic screened		554		2559		2422
Cost per TB case detected		4633		13650		10292
Cost per symptomatic screened (\$US)		9		39		37
Cost per TB case detected (\$US)		71		210		158

This scenario assumes distribution of 5 cards instead of 10 and reduces health worker stipends by 50% to Rs. 900/month.

Panel A: Estimated number of detections correspond to outcome variables in Table 3, columns 1 and 7.

Panel B: Estimated number of detections correspond to outcome variables in Table 4, columns 1 and 7.

All costs in Indian rupees, except where indicated. Exchange rate is Rs. 65 to \$US 1.

## Appendix B (For online publication only)

Table B1: Summary statistics: variables selected by double-lasso procedure for analysis of incentive conditions

Variable	Mean	SD	Minimum	Maximum	Observations	Used in
Seek advice for a cough - no	0.250	0.433	0	1	3176	1, 2, 3
Seek advice on children's school - maybe	0.025	0.156	0	1	3176	1, 2, 3
Seek advice on medical care for TB - maybe	0.026	0.158	0	1	3176	1, 2, 3
Attended wedding with friend	0.617	0.487	0	1	533	1, 2, 3
Last amount borrowed from Self Help Group	23490.155	40487.383	0	400000	161	1, 2, 3
Trust enough to borrow from - relative not in household	0.099	0.299	0	1	3176	1, 2, 3, 4
Cooking fuel - cow dung cake	0.059	0.235	0	1	3176	1, 2, 3, 4
No cooking fuel	0.002	0.043	0	1	3176	1, 2, 3
Delay in starting treatment - family problems	0.009	0.095	0	1	438	1, 2, 3
Delay in starting treatment - treated for something else	0.290	0.454	0	1	438	1, 2, 3
Delay in starting treatment - not know treatment place	0.078	0.268	0	1	438	1, 2, 3
Delay in starting treatment - no time	0.130	0.337	0	1	438	1, 2, 3
Condition diagnosed - paralysis	0.008	0.087	0	1	3176	1, 2, 3
Relative died of TB - aunt/uncle	0.196	0.398	0	1	397	1, 2, 3
Relative died of TB - cousin	0.025	0.157	0	1	397	1, 2, 3
Relative died of TB - son/daughter in law	0.010	0.100	0	1	397	1, 2, 3
Electricity at home	0.933	0.251	0	1	3176	1, 2, 3, 4
Household member condition diagnosed - BP	0.057	0.232	0	1	3176	1, 2, 3
Household member condition diagnosed - paralysis	0.008	0.090	0	1	3176	1, 2, 3
Household member condition diagnosed - pneumonia	0.081	0.272	0	1	3176	1, 2, 3
Health advice from boss/employer	0.006	0.079	0	1	3176	1, 2, 3
Health advice from relatives in household - aunt/uncle	0.008	0.090	0	1	3176	1, 2, 3
Health advice from relatives in household - all family members	0.002	0.043	0	1	3176	1, 2, 3
Health advice from relatives not in household - sister/brother in law	0.009	0.097	0	1	3176	1, 2, 3
Health advice from doctor	0.002	0.040	0	1	3176	1, 2, 3
Health complaints in past week - none	0.360	0.480	0	1	3176	1, 2, 3
Discuss health problems with boss/employer	0.011	0.103	0	1	3176	1, 2, 3
Discuss health problems with relatives not in household - grandparents	0.003	0.056	0	1	3176	1, 2, 3
Discuss health problems with parents	0.331	0.471	0	1	3176	1, 2, 3
Know baseline patient being treated for TB - customer	0.161	0.368	0	1	323	1, 2, 3
Know baseline patient being treated for TB - employee	0.299	0.458	0	1	421	1, 2, 3
Trust enough to lend to - boss/employer	0.013	0.114	0	1	3176	1, 2, 3
Trust enough to lend to - co-worker	0.014	0.116	0	1	3176	1, 2, 3
Trust enough to lend to - employee	0.001	0.035	0	1	3176	1, 2, 3
Trust enough to lend to - parents	0.013	0.113	0	1	3176	1, 2, 3
Trust enough to lend to - relatives not in household	0.065	0.247	0	1	3176	1, 2, 3, 4
Source of light - battery	0.019	0.136	0	1	214	2, 4
Source of light - kerosene	0.888	0.316	0	1	214	1, 2, 3, 4
Source of light - no electricity	0.014	0.118	0	1	214	2, 4
Source of light - other oil	0.009	0.096	0	1	214	2, 4
Source of light - solar energy	0.047	0.212	0	1	214	2, 4
Last transaction - one year ago	0.127	0.333	0	1	1948	1, 2, 3
Careful that customer does not find out about TB treatment	0.845	0.363	0	1	271	1, 2, 3
Careful that spouse does not find out about TB treatment	0.781	0.416	0	1	105	1, 2, 3
Own a radio/transistor	0.070	0.329	0	8	3174	1, 2, 3
Recommended treatment location type - public hospital	0.867	0.340	0	1	218	1, 2, 3
Has bank account	0.613	0.487	0	1	3176	1, 2, 3
Number of social contacts	2.554	5.772	0	99	3042	1, 2, 3
Socialize with customer	0.006	0.079	0	1	3176	1, 2, 3
Socialize with relatives not in household - children	0.004	0.066	0	1	3176	1, 2, 3
Socialize with spouse	0.116	0.320	0	1	3176	1, 2, 3
Symptom noticed first - don't know	0.011	0.103	0	1	3176	1, 2, 3
Symptom noticed first - swollen glands	0.122	0.327	0	1	3176	1, 2, 3
Symptom noticed in - July	0.065	0.247	0	1	3041	1, 2, 3
Symptom noticed in - June	0.060	0.237	0	1	3041	1, 2, 3, 4
Symptom noticed in - March	0.078	0.268	0	1	3041	1, 2, 3
Symptom noticed in - May	0.058	0.234	0	1	3041	1, 2, 3
Symptom noticed first - body pain	0.007	0.081	0	1	3176	1, 2, 3
Symptom noticed first - chest pain	0.024	0.153	0	1	3176	1, 2, 3
Symptom noticed first - stomach pain	0.021	0.143	0	1	3176	1, 2, 3
Symptom noticed first - vomiting	0.015	0.122	0	1	3176	1, 2, 3, 4
Test type - fluid test	0.060	0.238	0	1	3176	1, 2, 3
Test type - MRI	0.005	0.073	0	1	3176	1, 2, 3
No test	80.001	0.031	0	1	3176	1, 2, 3, 4
Test revealed TB	0.992	0.088	0	1	3176	1, 2, 3
Choice of test location - health card for facility	0.011	0.103	0	1	3176	1, 2, 3
Choice of test location - recommended by friend	0.030	0.169	0	1	3176	1, 2, 3
Choice of test location - trustworthy	0.220	0.414	0	1	3176	1, 2, 3
Primary water source - well	0.051	0.221	0	1	3176	1, 2, 3, 4

Numbers in column 6 indicate the columns from Table A6 in which the relevant covariate was selected by the double-lasso procedure and included in the corresponding regression.



Table B2: Summary statistics: variables selected by double-lasso procedure for analysis of outreach conditions

Variable	(1) Mean	(2) SD	(3) Minimum	(4) Maximum	(5) Observations	(6) Used in
Seek advice for a cough - no	0.250	0.433	0	1	3176	1, 2, 3
Seek advice on children's school - maybe	0.025	0.156	0	1	3176	1, 2, 3
Seek advice on medical care for TB - maybe	0.026	0.158	0	1	3176	1, 2, 3
Attended wedding with friend	0.617	0.487	0	1	533	1, 2, 3
Last amount borrowed from Self Help Group	23490.155	40487.383	0	400000	161	1, 2, 3
Trust enough to borrow from - relative not in household	0.099	0.299	0	1	3176	1, 2, 3, 4
Cooking fuel - cow dung cake	0.059	0.235	0	1	3176	1, 2, 3, 4
No cooking fuel	0.002	0.043	0	1	3176	1, 2, 3
Delay in starting treatment - family problems	0.009	0.095	0	1	438	1, 2, 3
Delay in starting treatment - treated for something else	0.290	0.454	0	1	438	1, 2, 3
Delay in starting treatment - not know treatment place	0.078	0.268	0	1	438	1, 2, 3
Delay in starting treatment - no time	0.130	0.337	0	1	438	1, 2, 3
Condition diagnosed - paralysis	0.008	0.087	0	1	3176	1, 2, 3
Relative died of TB - aunt/uncle	0.196	0.398	0	1	397	1, 2, 3
Relative died of TB - cousin	0.025	0.157	0	1	397	1, 2, 3
Relative died of TB - son/daughter in law	0.010	0.100	0	1	397	1, 2, 3
Household member condition diagnosed - BP	0.057	0.232	0	1	3176	1, 2, 3
Household member condition diagnosed - paralysis	0.008	0.090	0	1	3176	1, 2, 3
Household member condition diagnosed - pneumonia	0.081	0.272	0	1	3176	1, 2, 3
Health advice from boss/employer	0.006	0.079	0	1	3176	1, 2, 3
Health advice from relatives in household - aunt/uncle	0.008	0.090	0	1	3176	1, 2, 3
Health advice from relatives in household - all family members	0.002	0.043	0	1	3176	1, 2, 3, 4
Health advice from relatives not in household - sister/brother in law	0.009	0.097	0	1	3176	1, 2, 3
Health advice from doctor	0.002	0.040	0	1	3176	1, 2, 3
Health complaints in past week - none	0.360	0.480	0	1	3176	1, 2, 3
Discuss health problems with boss/employer	0.011	0.103	0	1	3176	1, 2, 3
Discuss health problems with all family members in household	0.010	0.098	0	1	3176	1, 2, 3, 4
Discuss health problems with relatives not in household - grandparents	0.003	0.056	0	1	3176	1, 2, 3
Discuss health problems with parents	0.331	0.471	0	1	3176	1, 2, 3
Know baseline patient being treated for TB - customer	0.161	0.368	0	1	323	1, 2, 3
Know baseline patient being treated for TB - employee	0.299	0.458	0	1	421	1, 2, 3
Trust enough to lend to - boss/employer	0.013	0.114	0	1	3176	1, 2, 3
Trust enough to lend to - co-worker	0.014	0.116	0	1	3176	1, 2, 3
Trust enough to lend to - employee	0.001	0.035	0	1	3176	1, 2, 3
Trust enough to lend to - parents	0.013	0.113	0	1	3176	1, 2, 3
Trust enough to lend to - relatives not in household	0.065	0.247	0	1	3176	1, 2, 3, 4
Last transaction - one year ago	0.127	0.333	0	1	1948	1, 2, 3
Careful that customer does not find out about TB treatment	0.845	0.363	0	1	271	1, 2, 3
Careful that spouse does not find out about TB treatment	0.781	0.416	0	1	105	1, 2, 3
Own a radio/transistor	0.070	0.329	0	8	3174	1, 2, 3
Recommended treatment location type - public hospital	0.867	0.340	0	1	218	1, 2, 3
Recommended specific place for treatment	0.592	0.492	0	1	368	3
Has bank account	0.613	0.487	0	1	3176	1, 2, 3
Number of social contacts	2.554	5.772	0	99	3042	1, 2, 3
Socialize with customer	0.006	0.079	0	1	3176	1, 2, 3
Socialize with relatives not in household - children	0.004	0.066	0	1	3176	1, 2, 3
Socialize with spouse	0.116	0.320	0	1	3176	1, 2, 3
Symptom noticed first - don't know	0.011	0.103	0	1	3176	1, 2, 3
Symptom noticed first - swollen glands	0.122	0.327	0	1	3176	1, 2, 3
Symptom noticed in - July	0.065	0.247	0	1	3041	1, 2, 3
Symptom noticed in - March	0.078	0.268	0	1	3041	1, 2, 3
Symptom noticed in - May	0.058	0.234	0	1	3041	1, 2, 3
Symptom noticed first - body pain	0.007	0.081	0	1	3176	1, 2, 3
Symptom noticed first - chest pain	0.024	0.153	0	1	3176	1, 2, 3
Symptom noticed first - stomach pain	0.021	0.143	0	1	3176	1, 2, 3
Test type - fluid test	0.060	0.238	0	1	3176	1, 2, 3
Test type - MRI	0.005	0.073	0	1	3176	1, 2, 3
No test	0.991	0.031	0	1	3176	1, 2, 3, 4
Test revealed TB	0.992	0.088	0	1	3176	1, 2, 3
Choice of test location - health card for facility	0.011	0.103	0	1	3176	1, 2, 3
Choice of test location - recommended by friend	0.030	0.169	0	1	3176	1, 2, 3
Choice of test location - trustworthy	0.220	0.414	0	1	3176	1, 2, 3
Primary water source - water truck	0.013	0.112	0	1	3176	3

Numbers in column 6 indicate the columns from Table A7 in which the relevant covariate was selected by the double-lasso procedure and included in the corresponding regression.